

*Project:* DRA FRONT END FILTER PROJECT

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*Abstract:* The formal specifications of the functions *hide* and *updateState* for the DRA front end filter project RSRE 1C/6130.

*Distribution:* HAT FEF File  
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## 0 DOCUMENT CONTROL

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### 0.2 Document Cross References

- [1] DS/FMU/017. *Secure Database Technical Proposal*. High Assurance Team, ICL Secure Systems, WIN01, 21st January 1992.

[2] DS/FMU/FEF/004. *Specification of SSQL Semantics I*. G.M. Prout, ICL Secure Systems, WIN01.

[3] *Invitation to Tender RSRE 1c/6130*. DRA, December 1991.

### 0.3 Changes History

**Issue Revision : 4.3 (5 June 2016)** postfix.

**Issue 4.4** Removed dependency on ICL logo font

### 0.4 Changes Forecast

None.

# 1 GENERAL

## 1.1 Scope

This document gives a formal specification of the functions *hide* and *updateState*. It constitutes deliverable D2 of work package 1a, as given in section 7 of the Secure Database Technical Proposal, [1].

## 1.2 Introduction

In the introduction to [2] we stated that the formalisation of the semantics of SSQL is to be presented in three main parts. Two of these parts, the functions *hide* and *updateState*, are concerned with the security aspects of the SSQL semantics. The functions *hide* and *updateState* are formalised in this document.

# 2 PRELIMINARIES

The following ProofPower instructions set up the new theory *fef005* and set the context for the proof tools.

SML

```
|open_theory "fef004";
|force_delete_theory "fef005" handle _ => ();
|new_theory "fef005";
|push_pc "hol";
```

## 2.1 Representation and Abstraction Functions for *State : Exp*

We define the representation function, *repState*, and abstraction function, *absState*, for the type *State : Exp*.

HOL Constant

```
|
|   repState : State → StateR;
|   absState : StateR → State
|-----
|   (∀ a • absState (repState a) = a)
| ∧   (∀ r • isState r ⇒ repState (absState r) = r)
| ∧   (∀ a1 a2 • repState a1 = repState a2 ⇔ a1 = a2)
| ∧   (∀ r1 r2 • (isState r1 ∧ isState r2) ⇒
|       (absState r1 = absState r2 ⇔ r1 = r2))
| ∧   (∀ s • isState (repState s))
```

---

### 3 THE FUNCTION *hide*

The purpose of the *hide* function is to return a version of the database that does not include any information the existence of which the user is not cleared to know, nor does it contain the true value of any field which the user is not cleared to see. This is achieved as follows :

**Section 3.1** A function *cleanTable* is defined which takes a table and a clearance and returns a table which has been cleaned in the following way: A table that the user is not permitted to access is replaced by an ‘empty’ table; otherwise, all the rows and columns about whose existence the user is not cleared to know are removed, and all the data the user is not cleared to see is replaced by dummy data.

**Section 3.2** A function *cleanDirectory* is defined which takes a directory and a clearance and returns a directory which has been cleaned in the following way: if the user is not permitted to know of the existence of the tables in the directory then a directory with no tables in it is returned; otherwise the tables in the directory are cleaned by *cleanTable*.

**Section 3.3** Finally, the function *hide* is defined which takes a state and a clearance and returns a state which has been cleaned in the following way: all directories about whose existence the user is not cleared to know are removed and the rest are cleaned by *cleanDirectory*.

#### 3.1 Cleaning a Table

In the case where the user is permitted to know of the existence of a table, but is not permitted to access it, i.e. his clearance does not dominate the table class, an ‘empty’ table is left in the directory in place of that table.

All other tables are cleaned in the following way

- Remove from the column constraints those groups of columns about whose existence the user is not cleared to know.
- Remove any columns about whose existence the user is not cleared to know.
- Remove any rows about whose existence the user is not cleared to know.
- Remove data from columns of remaining rows about whose existence the user is not cleared to know.
- Replace data that the user is not cleared to see by dummy values.

##### 3.1.1 Clean Column Constraints

A function that takes a clearance and a table and returns a pair: the column constraints for columns whose existence is dominated by the clearance, and the corresponding set of columns.

HOL Constant

---

**cleanColCons** : *Class* → *TableSpec* → ((*Num* ↔ *ColCon*) × *ColSpec* ℙ)

---

 $\forall$  *clear* : *Class*; *ts* : *TableSpec*

- *cleanColCons clear ts*

=

 $let \quad ccs = TS\_cons \ ts \triangleright \{cc \mid clear \text{ dominates } (CC\_exist \ cc)\}$ 

in

 $(ccs, \{col : ColSpec \mid (CS\_consGroup \ col) \in Dom \ ccs\})$ 

### 3.1.2 Filter all Data in a Row

Filter out the data in columns about whose existence the user is cleared to know.

HOL Constant

---

**filterRow** : *ColSpec* ℙ → (*Num* ↔ *Data*) → (*Num* ↔ *Data*)

---

 $\forall$  *cols* : *ColSpec* ℙ; *ds* : *Num* ↔ *Data*

- *filterRow cols ds*

=

 $\{n \mid \exists c \bullet c \in cols \wedge CS\_posn \ c = n\} \triangleleft ds$ 

### 3.1.3 Replace Data in a Row with Dummy Data

Data consists of a classification and an item of data. An item of data that a user is not cleared to see is replaced by a dummy string *Hidden* (its classification remains).

HOL Constant

---

**Hidden** : *String*


---

*T*

HOL Constant

---

**dummyVal** : *Val*


---

*dummyVal* = *StringVal Hidden*

A function to replace the value of a piece of data that the user is not cleared to see by a dummy value.

HOL Constant

$$\mathbf{replaceData} : Class \rightarrow Data \rightarrow Data$$


---


$$\forall clear : Class; d : Data$$

- $replaceData\ clear\ d$   
=
- if  $\neg(clear\ dominates\ (Dat\_class\ d))$   
then  $MkData\ (Dat\_class\ d)$   
     $(ValuedItemItem\ (MkValuedItem\ worthless\ dummyVal))$
- else  $d$

### 3.1.4 Clean all Data in a Row

Filter out the data in columns about whose existence the user is cleared to know. Replace data in fields that the user is not cleared to see by dummy values.

HOL Constant

$$\mathbf{cleanRow} : Class \rightarrow ColSpec\ \mathbb{P} \rightarrow Row \rightarrow Row$$


---


$$\forall clear : Class; cols : ColSpec\ \mathbb{P}; r : Row$$

- $cleanRow\ clear\ cols\ r$   
=
- $MkRow\ (R\_exist\ r)((filterRow\ cols\ (R\_data\ r))\ \% Graph(replaceData\ clear))$

### 3.1.5 Clean all Rows in a Table

Delete all rows about whose existence the user is not cleared to know, and then clean remaining rows.

HOL Constant

$$\mathbf{cleanRows} : Class \rightarrow ColSpec\ \mathbb{P} \rightarrow Row\ LIST \rightarrow Row\ LIST$$


---


$$\forall clear : Class; cols : ColSpec\ \mathbb{P}; rs : Row\ LIST$$

- $cleanRows\ clear\ cols\ rs$   
=
- $Map\ (cleanRow\ clear\ cols)\ (rs\ \uparrow\ \{r:Row\mid clear\ dominates\ (R\_exist\ r)\})$

### 3.1.6 The function *cleanTable*

The function *cleanTable* either returns an ‘empty’ table or a table where the rows and columns that the user is not cleared to see have been removed and fields that the user is not cleared to see have been overwritten by dummy fields.

HOL Constant

```

cleanTable : Class → TableSpec → TableSpec
-----
∀ clear : Class; ts:TableSpec
•   cleanTable clear ts
    =
    if   ¬(clear dominates (TS_class ts))
    then MkTableSpec
          (TS_class ts)
          (TS_class ts)
          {}{}[]
    else let (ccs,cols) = cleanColCons clear ts
          in
          MkTableSpec
            (TS_class ts)
            (TS_maxRow ts)
            cols
            ccs
            (cleanRows clear cols (TS_rows ts))

```

## 3.2 Cleaning a Directory

Any tables in a directory about whose existence the user is not cleared to know can be removed from that directory. These are all the tables in a directory whose *class* component is not dominated by the user’s clearance (i.e. the user is cleared to know the existence of the directory, but is not cleared to see the structure of the directory). To clean a directory, the tables in that directory will either be hidden or modified by *cleanTable*.



HOL Constant

---

**cleanDirectory** :  $Class \rightarrow Directory \rightarrow Directory$ 


---

 $\forall clear : Class; dir : Directory$ 

- $cleanDirectory\ clear\ dir$

=

 $MkDirectory$  $(if\ (clear\ dominates\ (Dir\_class\ dir))$  $then\ (Dir\_tables\ dir\ \S\ Graph(cleanTable\ clear))$  $else\ \{\})$  $(Dir\_exist\ dir)$  $(Dir\_class\ dir)$ 

### 3.3 Cleaning a State

Finally, any directories about whose existence the user is not cleared to know can be removed from the state. All remaining directories will be cleaned by *cleanDirectory*. First, we define *hideR* on the representation state.

HOL Constant

---

**hideR** :  $Class \times StateR \rightarrow StateR$ 


---

 $\forall clear : Class; s : StateR$ 

- $hideR(clear,s)$

=

 $(s \triangleright \{dir \mid clear\ dominates\ (Dir\_exist\ dir)\}) \S\ Graph(cleanDirectory\ clear)$ 

Now the function *hide* on  $State : Exp$ .

HOL Constant

---

**hide** :  $Class \times State \rightarrow State$ 


---

 $\forall clear : Class; s : State$ 

- $hide(clear,s) = absState(hideR(clear, repState\ s))$

## 4 THE FUNCTION *updateState*

In [2] the function *processQuery* is defined. This function takes a query, a user's classification and a state and returns the result of the query, i.e., the output to be seen by the user, an update to be applied to the data base and some error messages. The effect of a query depends on the type of the query, which may be an *Insert*, a *Delete* an *UpDate* or a *Select*.

We have not yet determined whether it is necessary to have as a state invariant that column existence classifications are in ascending order.

## 4.1 Auxiliary Functions

When a user makes an update request on a row, the position of the row in a table will be its position after rows about whose existence the user is not cleared to know have been hidden. We require a function that reveals the true row position given the hidden row position.

HOL Constant

$$\mathbf{revealRow} : \mathit{Class} \rightarrow \mathit{TableSpec} \rightarrow \mathit{Num} \leftrightarrow \mathit{Num}$$


---


$$\forall \mathit{clear} : \mathit{Class}; \mathit{ts} : \mathit{TableSpec}$$

- $\mathit{revealRow} \mathit{clear} \mathit{ts}$   
=

$$\mathit{let} \quad \mathit{visiblerows} = \{r : \mathit{Row} \mid \mathit{clear} \text{ dominates } (R\_exist \ r)\}$$

$$\mathit{in}$$

$$\mathit{Squash}(\mathit{Id}(\mathit{Dom}((\mathit{ListRel}(\mathit{TS\_rows} \ \mathit{ts})) \triangleright \mathit{visiblerows})))$$

We provide the auxiliary functions *replaceRows* and *changeSpec* from Annex 2 *SSQL Abstract Machine* of the ITT [3].

HOL Constant

$$\mathbf{replaceRows} : \mathit{TableSpec} \rightarrow \mathit{Row} \ \mathit{LIST} \rightarrow \mathit{TableSpec}$$


---


$$\forall \mathit{ts} : \mathit{TableSpec}; \mathit{rs} : \mathit{Row} \ \mathit{LIST}$$

- $\mathit{replaceRows} \mathit{ts} \ \mathit{rs}$   
=

$$\mathit{MkTableSpec}(\mathit{TS\_class} \ \mathit{ts})(\mathit{TS\_maxRow} \ \mathit{ts})(\mathit{TS\_colspecs} \ \mathit{ts})(\mathit{TS\_cons} \ \mathit{ts}) \ \mathit{rs}$$

The operator @ is the partial function application operator.

HOL Constant

$$\mathbf{changeSpec} : \mathit{Tab} \rightarrow \mathit{TableSpec} \rightarrow \mathit{StateR} \rightarrow \mathit{StateR}$$


---


$$\forall \mathit{i} : \mathit{Tab}; \mathit{ts} : \mathit{TableSpec}; \mathit{s} : \mathit{StateR}$$

- $\mathit{changeSpec} \ \mathit{i} \ \mathit{ts} \ \mathit{s}$   
=

$$\mathit{let} \quad \mathit{dir} = \mathit{s} \ @ \ \mathit{Front} \ \mathit{i}$$

$$\mathit{in}$$

$$\mathit{let} \quad \mathit{dir}' = \mathit{MkDirectory}(\mathit{Dir\_tables} \ \mathit{dir} \oplus \{(Last \ \mathit{i}, \mathit{ts})\})$$

$$\quad \quad \quad (\mathit{Dir\_exist} \ \mathit{dir})(\mathit{Dir\_class} \ \mathit{dir})$$

$$\mathit{in}$$

$$\mathit{s} \oplus \{(\mathit{Front} \ \mathit{i}, \mathit{dir}')\}$$

A function that returns the visible columns of a table.

HOL Constant

$$\mathbf{visibleCols} : Class \rightarrow TableSpec \rightarrow ColSpec \mathbb{P}$$

$$\forall clear : Class; ts : TableSpec$$

- $visibleCols\ clear\ ts$

$$=$$

$$Snd(cleanColCons\ clear\ ts)$$

A function *tabExists* is given that determines whether or not a table name exists at a particular clearance in a particular state.

HOL Constant

$$\mathbf{tabExists} : Class \rightarrow Tab \rightarrow StateR \rightarrow Bool$$

$$\forall c : Class; i : Tab; s : StateR$$

- $tabExists\ c\ i\ s$

$$\Leftrightarrow$$

$$Front\ i \in Dom\ s$$

$$\wedge$$

$$c\ dominates\ Dir\_exist\ (s\ @\ Front\ i)$$

$$\wedge$$

$$c\ dominates\ Dir\_class\ (s\ @\ Front\ i)$$

$$\wedge$$

$$Last\ i \in Dom\ (Dir\_tables\ (s\ @\ Front\ i))$$

The function *getTable* returns a table given its full table name. This will only be applied in the case where the table name exists.

HOL Constant

$$\mathbf{getTable} : Tab \rightarrow StateR \rightarrow TableSpec$$

$$\forall i : Tab; s : StateR$$

- 

$$getTable\ i\ s = (Dir\_tables(s\ @\ (Front\ i)))\ @\ (Last\ i)$$

## 4.2 Insert

The function *colDefaults* takes a partial function from column number to data and a table and adds the appropriate column number - default value pairs which correspond to the columns that were hidden to the user. The default values are obtained from the table information.

HOL Constant

$$\mathbf{colDefaults} : \text{Class} \rightarrow \text{TableSpec} \rightarrow (\text{Num} \leftrightarrow \text{Data}) \rightarrow (\text{Num} \leftrightarrow \text{Data})$$

$$\forall \text{clear} : \text{Class}; \text{ts} : \text{TableSpec}; \text{ds} : \text{Num} \leftrightarrow \text{Data}$$

- $\text{colDefaults clear ts ds}$

$$=$$

$$\text{ds} \oplus \{(n,d) \mid \exists c \bullet \neg c \in \text{visibleCols clear ts} \\ \wedge n = \text{CS\_posn } c \wedge d = \text{CS\_default } c\}$$

An *ambiguousColumn* error is returned if the data in a row to be inserted is not a partial function.

HOL Constant

$$\mathbf{insertQuery} : (\text{Class} \times \text{Insert} \times \text{StateR} \times \text{TableSpec}) \rightarrow \text{StateR} \times \text{Errors}$$

$$\forall \text{clear} : \text{Class}; i : \text{Tab}; \text{ds} : (\text{Num} \leftrightarrow \text{Data}) \text{ LIST}; s : \text{StateR}; \text{ts} : \text{TableSpec}$$

- $\text{insertQuery (clear, (i, ds), s, ts)}$

$$=$$

$$\text{let } rl = \text{Map } ((\text{MkRow clear}) \circ (\text{colDefaults clear ts})) \text{ ds}$$

$$\text{in}$$

$$\text{if } \neg \text{Elms } rl \subseteq \text{RowS}$$

$$\text{then } (s, [\text{ambiguousColumn}])$$

$$\text{else}$$

$$((\text{changeSpec } i (\text{replaceRows ts } ((\text{TS\_rows } ts) \hat{\ } rl)) s), [])$$

### 4.3 Delete

Delete all rows specified by *DeleteEffect*. The row numbers supplied by *DeleteEffect* correspond to the users view of the hidden state of the data base. In order to delete the correct rows from the true state of the data base, it is necessary to take into account those rows about whose existence the user is not cleared to know. If the user were not permitted access to the table then the structure of the table would have been hidden from him and therefore the request would have failed.

HOL Constant

---

**deleteQuery** :  $(Class \times Delete \times StateR \times TableSpec) \rightarrow StateR$ 


---

 $\forall clear:Class; i:Tab; ns : Num \mathbb{P}; e:Errors; s:StateR; ts:TableSpec$ 

- $deleteQuery(clear,(i,ns),s,ts)$

=

 $let \quad ns' = (revealRow \ clear \ ts) \ Image \ ns$ 

in

 $let \ ns'' = ns' \cap \{i | R\_exist(Nth(TS\_rows \ ts)i) = clear\}$ 
 $in \quad let \ rs = Extract \ (1 \ .. \ Length \ (TS\_rows \ ts) \ \ ns'')(TS\_rows \ ts)$ 

in

 $(changeSpec \ i \ (replaceRows \ ts \ rs) \ s)$ 

#### 4.4 Update

Perform the updates specified by *UpdateEffect*, provided that they do not violate the security policy. A user may change the value in a field (classification stays the same) provided the field's classification dominates the user's clearance. A user may downgrade the classification of a field providing that the value in the field is overwritten.

Firstly, consider an update request on a single field of data. This will either yield a new piece of data or an error message.

HOL Constant

---

**updateField** :  $Class \rightarrow Class \rightarrow (Update \times Data) \rightarrow (Data + Error)$ 


---

 $\forall clear \ table\_class:Class; table\_d:Data; u:Update$ 

- $updateField \ clear \ table\_class \ (u,table\_d)$

=

 $if \quad clear = table\_class$ 
 $then \quad if \quad isItem \ u$ 
 $then \quad giveVal(MkData \ (Dat\_class \ table\_d)(destItem \ u))$ 
 $else \ if \ isClass \ u$ 
 $then \quad if \ (destClass \ u) \ dominates \ (Dat\_class \ table\_d)$ 
 $then \quad giveVal(MkData \ (destClass \ u) \ (Dat\_item \ table\_d))$ 
 $else \quad giveError \ downgrade$ 
 $else \quad giveVal(destData \ u) \ (* \ must \ be \ Data \ *)$ 
 $else \ if \ isItem \ u$ 
 $then \quad if \quad (Dat\_class \ table\_d) \ dominates \ clear$ 
 $then \quad giveVal(MkData \ (Dat\_class \ table\_d) \ (destItem \ u))$ 
 $else \quad giveError \ underClassified$ 
 $else \quad giveError \ classChange$

Now the effect of an update on a row.

Updates for a row must be functional otherwise an *ambiguousUpdate* error is returned. A new row is only returned if all updates are secure; otherwise a sequence of errors is returned.

HOL Constant

$$\mathbf{updateRow} : \text{Class} \rightarrow \text{Class} \rightarrow ((\text{Num} \leftrightarrow \text{Update}) \times \text{Row}) \rightarrow (\text{Row} + \text{Errors})$$

$$\forall \text{clear table\_class}:\text{Class}; \text{us}:\text{Num} \leftrightarrow \text{Update}; \text{r}:\text{Row}$$

- $\text{updateRow clear table\_class (us,r)}$

=

if  $\neg \text{us} \in \text{Functional}$

then  $\text{giveError [ambiguousUpdate]}$

else

let  $\text{us}' = \text{RelCombine us (R\_data r)} \% \text{Graph(updateField clear table\_class)}$

in

let  $\text{es} = (\text{us}' \triangleright \{x \mid \text{isError } x\}) \% \text{Graph destError}$

in

if  $\text{es} = \{\}$

then  $\text{giveVal}(\text{MkRow (R\_exist r)}((\text{R\_data r}) \oplus (\text{us}' \% \text{Graph destVal})))$

else  $\text{giveError (RelList(Squash es))}$

Finally, the *updateQuery* function. Updates must be functional otherwise an *ambiguousUpdate* error is returned. A check is made to prevent access to hidden columns.

HOL Constant

$$\mathbf{updateQuery} : (Class \times UpDate \times StateR \times TableSpec) \rightarrow StateR \times Errors$$

$$\begin{aligned} & \forall clear:Class; i:Tab; us : Num \leftrightarrow (Num \leftrightarrow Update); s:StateR; ts : TableSpec \\ & \bullet \quad updateQuery (clear,(i,us),s,ts) \\ & \quad = \\ & \quad let colnums = \{n \mid \exists c \bullet c \in visibleCols \ clear \ ts \wedge CS\_posn \ c = n\} \\ & \quad in \\ & \quad if \quad \neg us \in Functional \\ & \quad then \quad (s,[ambiguousUpdate]) \\ & \quad else if \neg((Dom (\bigcup (Ran us))) \subseteq colnums) \\ & \quad then \quad (s,[noSuchColumn]) \\ & \quad else \quad let \quad us' = (revealRow \ clear \ ts) \sim \% us \\ & \quad \quad in \\ & \quad \quad let \quad pr = RelCombine us' (ListRel(TS\_rows ts)) \\ & \quad \quad \quad \% Graph(updateRow clear (TS\_class ts)) \\ & \quad \quad in \\ & \quad \quad let \quad es = (pr \triangleright \{x \mid isError \ x\}) \% Graph destError \\ & \quad \quad in \quad if \quad es = \{\} \\ & \quad \quad \quad then \quad let \quad rs = RelList(ListRel(TS\_rows ts)) \\ & \quad \quad \quad \quad \oplus (pr \% Graph destVal)) \\ & \quad \quad \quad \quad in \quad (changeSpec i(replaceRows ts rs) s,[]) \\ & \quad \quad else \quad (s,Flat(RelList(Squash es))) \end{aligned}$$

#### 4.5 Specification of *updateState*

The function *updateState* operates on the output from the function *processQuery*, defined in [2]. This output is a pair consisting of the effect of the update query, which depends on whether the query was a select, insert, delete or update, and a sequence of errors messages. For a select query, data is returned to the user, together with any error messages. The state of the database remains unchanged. For an insert, delete or update query, if a table name is returned by *processQuery* that does not exist, then the state of the database remains unchanged and an appropriate error message is returned. If errors were generated by *processQuery* then the state of the database remains unchanged and the errors are returned. If a valid table name and no errors are returned by *processQuery*, then if the clearance of the user does not dominate the classification of the table, again the state of the database remains unchanged and an appropriate error message is returned. Otherwise, the appropriate update to the state of the database, given by *insertQuery*, *deleteQuery* and *updateQuery*, is carried out after the security checks have been made. In the case of an insert or update query, if the security checks identified that the output of *processQuery* would result in an insecure update to the state of the database, then the state of the database remains unchanged and error messages generated by the security checks are returned to the user.

First, we define *updateStateR* on the representation state.

HOL Constant

$$\mathbf{updateStateR} : \text{Class} \times (\text{Effect} \times \text{Errors}) \times \text{StateR} \rightarrow$$

$$\text{StateR} \times (\text{Class} \times (\text{Data LIST LIST} \times \text{Errors}))$$

$$\forall \text{clear}:\text{Class}; \text{ef} : \text{Effect}; \text{es} : \text{Errors}; \text{s} : \text{StateR}$$

- $\text{updateStateR}(\text{clear},(\text{ef},\text{es}),\text{s})$   
 $=$   
*if*  $\text{isSelect ef}$   
*then*  $(\text{s},(\text{clear},(\text{destSelect ef},\text{es})))$   
*else if*  $\neg(\text{es} = [])$  (\* delete,update and insert only valid if no errors \*)  
*then*  $(\text{s},(\text{clear},([],\text{es})))$   
*else let*  $i = \text{tabFromEffect ef}$   
*in*  
*if*  $\text{tabExists clear } i \text{ s}$   
*then let*  $\text{ts} = \text{getTable } i \text{ s}$   
*in*  
*if*  $\neg(\text{clear dominates TS\_class ts})$   
*then*  $(\text{s},(\text{clear},([],[\text{accessDenied}])))$   
*else*  
*let*  $(\text{s}',\text{es}')$   
 $=$   
*if*  $\text{isInsert ef}$   
*then*  $\text{insertQuery}(\text{clear},\text{destInsert ef},\text{s},\text{ts})$   
*else if*  $\text{isDelete ef}$   
*then*  $(\text{deleteQuery}(\text{clear},\text{destDelete ef},\text{s},\text{ts}),[])$   
*else*  $\text{updateQuery}(\text{clear},\text{destUpdate ef},\text{s},\text{ts})$   
*in*  
 $(\text{s}',(\text{clear},([],\text{es}')))$   
*else*  $(\text{s},(\text{clear},([],[\text{noSuchTable}])))$  (\* Invalid table name \*)

Now the function *updateState* on *State : Exp*.

HOL Constant

$$\mathbf{updateState} : \text{Class} \times (\text{Effect} \times \text{Errors}) \times \text{State} \rightarrow$$

$$\text{State} \times (\text{Class} \times (\text{Data LIST LIST} \times \text{Errors}))$$

$$\forall \text{clear}:\text{Class}; \text{ef} : \text{Effect}; \text{es} : \text{Errors}; \text{s} : \text{State}$$

- $\text{updateState}(\text{clear},(\text{ef},\text{es}),\text{s})$   
 $=$   
*let*  $(\text{s}_r,\text{out}) = \text{updateStateR}(\text{clear},(\text{ef},\text{es}),\text{repState } \text{s})$   
*in*  $(\text{absState } \text{s}_r,\text{out})$



## 5 CLOSING DOWN

The following ProofPower instruction restores the previous proof context.

SML

```
|pop-pc();
```

## 6 THE THEORY fef005

### 6.1 Parents

*fef004*

### 6.2 Children

*fef014*

### 6.3 Constants

<b>absState</b>	$StateR \rightarrow State$
<b>repState</b>	$State \rightarrow StateR$
<b>cleanColCons</b>	$Class \rightarrow TableSpec \rightarrow Worth \leftrightarrow ColCon \times ColSpec \mathbb{P}$
<b>filterRow</b>	$ColSpec \mathbb{P} \rightarrow Worth \leftrightarrow Data \rightarrow Worth \leftrightarrow Data$
<b>Hidden</b>	$Ide$
<b>dummyVal</b>	$Val$
<b>replaceData</b>	$Class \rightarrow Data \rightarrow Data$
<b>cleanRow</b>	$Class \rightarrow ColSpec \mathbb{P} \rightarrow Row \rightarrow Row$
<b>cleanRows</b>	$Class \rightarrow ColSpec \mathbb{P} \rightarrow Row LIST \rightarrow Row LIST$
<b>cleanTable</b>	$Class \rightarrow TableSpec \rightarrow TableSpec$
<b>cleanDirectory</b>	$Class \rightarrow Directory \rightarrow Directory$
<b>hideR</b>	$Class \times StateR \rightarrow StateR$
<b>hide</b>	$Class \times State \rightarrow State$
<b>revealRow</b>	$Class \rightarrow TableSpec \rightarrow Worth \leftrightarrow Worth$
<b>replaceRows</b>	$TableSpec \rightarrow Row LIST \rightarrow TableSpec$
<b>changeSpec</b>	$Tab \rightarrow TableSpec \rightarrow StateR \rightarrow StateR$
<b>visibleCols</b>	$Class \rightarrow TableSpec \rightarrow ColSpec \mathbb{P}$
<b>tabExists</b>	$Class \rightarrow Tab \rightarrow StateR \rightarrow Bool$
<b>getTable</b>	$Tab \rightarrow StateR \rightarrow TableSpec$
<b>colDefaults</b>	$Class \rightarrow TableSpec \rightarrow Worth \leftrightarrow Data \rightarrow Worth \leftrightarrow Data$
<b>insertQuery</b>	$Class \times Insert \times StateR \times TableSpec \rightarrow StateR \times Errors$
<b>deleteQuery</b>	$Class \times Delete \times StateR \times TableSpec \rightarrow StateR$
<b>updateField</b>	$Class \rightarrow Class \rightarrow Update \times Data \rightarrow Data + Worth$
<b>updateRow</b>	$Class \rightarrow Class \rightarrow Worth \leftrightarrow Update \times Row \rightarrow Row + Errors$
<b>updateQuery</b>	$Class \times UpDate \times StateR \times TableSpec \rightarrow StateR \times Errors$
<b>updateStateR</b>	$Class \times (Effect \times Errors) \times StateR$ $\rightarrow StateR \times Class \times Select \times Errors$
<b>updateState</b>	$Class \times (Effect \times Errors) \times State$ $\rightarrow State \times Class \times Select \times Errors$

## 6.4 Definitions

**repState****absState**

$$\begin{aligned} &\vdash \text{ConstSpec} \\ &(\lambda (\text{repState}', \text{absState}') \\ &\bullet (\forall a \bullet \text{absState}' (\text{repState}' a) = a) \\ &\quad \wedge (\forall r \\ &\quad \bullet \text{isState } r \Rightarrow \text{repState}' (\text{absState}' r) = r) \\ &\quad \wedge (\forall a_1 a_2 \\ &\quad \bullet \text{repState}' a_1 = \text{repState}' a_2 \Leftrightarrow a_1 = a_2) \\ &\quad \wedge (\forall r_1 r_2 \\ &\quad \bullet \text{isState } r_1 \wedge \text{isState } r_2 \\ &\quad \quad \Rightarrow (\text{absState}' r_1 = \text{absState}' r_2 \\ &\quad \quad \Leftrightarrow r_1 = r_2)) \\ &\quad \wedge (\forall s \bullet \text{isState } (\text{repState}' s))) \\ &(\text{repState}, \text{absState}) \end{aligned}$$
**cleanColCons**

$$\begin{aligned} &\vdash \forall \text{clear } ts \\ &\bullet \text{cleanColCons clear } ts \\ &= (\text{let } ccs \\ &\quad = \text{TS\_cons } ts \\ &\quad \triangleright \{cc | \text{clear dominates } CC\_exist \text{ } cc\} \\ &\quad \text{in } (ccs, \{col | CS\_consGroup \text{ } col \in \text{Dom } ccs\})) \end{aligned}$$
**filterRow**

$$\begin{aligned} &\vdash \forall \text{cols } ds \\ &\bullet \text{filterRow cols } ds \\ &= \{n | \exists c \bullet c \in \text{cols} \wedge CS\_posn \text{ } c = n\} \triangleleft ds \end{aligned}$$
**Hidden** $\vdash T$ **dummyVal** $\vdash \text{dummyVal} = \text{StringVal Hidden}$ **replaceData**

$$\begin{aligned} &\vdash \forall \text{clear } d \\ &\bullet \text{replaceData clear } d \\ &= (\text{if } \neg \text{clear dominates } Dat\_class \text{ } d \\ &\quad \text{then} \\ &\quad \quad \text{MkData} \\ &\quad \quad \quad (\text{Dat\_class } d) \\ &\quad \quad \quad (\text{ValuedItemItem} \\ &\quad \quad \quad \quad (\text{MkValuedItem worthless dummyVal})) \\ &\quad \text{else } d) \end{aligned}$$
**cleanRow**

$$\begin{aligned} &\vdash \forall \text{clear cols } r \\ &\bullet \text{cleanRow clear cols } r \\ &= \text{MkRow} \\ &\quad (\text{R\_exist } r) \\ &\quad (\text{filterRow cols } (\text{R\_data } r) \\ &\quad \quad \text{; Graph } (\text{replaceData clear})) \end{aligned}$$
**cleanRows**

$$\begin{aligned} &\vdash \forall \text{clear cols } rs \\ &\bullet \text{cleanRows clear cols } rs \\ &= \text{Map} \\ &\quad (\text{cleanRow clear cols}) \\ &\quad (rs \upharpoonright \{r | \text{clear dominates } R\_exist \text{ } r\}) \end{aligned}$$
**cleanTable** $\vdash \forall \text{clear } ts$

- *cleanTable clear ts*  
 = (if  $\neg$  *clear dominates TS\_class ts*  
 then  
   *MkTableSpec*  
   (*TS\_class ts*)  
   (*TS\_class ts*)  
   {}  
   {}  
   []  
 else  
   (let (*ccs*, *cols*) = *cleanColCons clear ts*  
   in *MkTableSpec*  
   (*TS\_class ts*)  
   (*TS\_maxRow ts*)  
   *cols*  
   *ccs*  
   (*cleanRows clear cols (TS\_rows ts)*)))

**cleanDirectory**

- ⊢  $\forall$  *clear dir*
- *cleanDirectory clear dir*  
 = *MkDirectory*  
 (if *clear dominates Dir\_class dir*  
 then  
   *Dir\_tables dir* % *Graph (cleanTable clear)*  
 else {})  
 (*Dir\_exist dir*)  
 (*Dir\_class dir*)

**hideR**

- ⊢  $\forall$  *clear s*
- *hideR (clear, s)*  
 = (*s* ▷ {*dir* | *clear dominates Dir\_exist dir*}  
 % *Graph (cleanDirectory clear)*)

**hide**

- ⊢  $\forall$  *clear s*
- *hide (clear, s)*  
 = *absState (hideR (clear, repState s))*

**revealRow**

- ⊢  $\forall$  *clear ts*
- *revealRow clear ts*  
 = (let *visiblerows*  
   = {*r* | *clear dominates R\_exist r*}  
 in *Squash*  
   (*Id*  
   (*Dom*  
   (*ListRel (TS\_rows ts)*  
   ▷ *visiblerows*))))

**replaceRows**

- ⊢  $\forall$  *ts rs*
- *replaceRows ts rs*  
 = *MkTableSpec*  
   (*TS\_class ts*)  
   (*TS\_maxRow ts*)

---

	$(TS\_colspecs\ ts)$ $(TS\_cons\ ts)$ $rs$
<b>changeSpec</b>	$\vdash \forall i\ ts\ s$ <ul style="list-style-type: none"> <li>• <math>changeSpec\ i\ ts\ s</math>  <math>= (let\ dir = s\ @\ Front\ i</math>  <math>in\ let\ dir'</math>  <math>= MkDirectory</math>  <math>(Dir\_tables\ dir\ \oplus\ \{(Last\ i,\ ts)\})</math>  <math>(Dir\_exist\ dir)</math>  <math>(Dir\_class\ dir)</math>  <math>in\ s\ \oplus\ \{(Front\ i,\ dir')\})</math></li> </ul>
<b>visibleCols</b>	$\vdash \forall clear\ ts$ <ul style="list-style-type: none"> <li>• <math>visibleCols\ clear\ ts = Snd\ (cleanColCons\ clear\ ts)</math></li> </ul>
<b>tabExists</b>	$\vdash \forall c\ i\ s$ <ul style="list-style-type: none"> <li>• <math>tabExists\ c\ i\ s</math>  <math>\Leftrightarrow Front\ i \in Dom\ s</math>  <math>\wedge c\ dominates\ Dir\_exist\ (s\ @\ Front\ i)</math>  <math>\wedge c\ dominates\ Dir\_class\ (s\ @\ Front\ i)</math>  <math>\wedge Last\ i \in Dom\ (Dir\_tables\ (s\ @\ Front\ i))</math></li> </ul>
<b>getTable</b>	$\vdash \forall i\ s$ <ul style="list-style-type: none"> <li>• <math>getTable\ i\ s = Dir\_tables\ (s\ @\ Front\ i)\ @\ Last\ i</math></li> </ul>
<b>colDefaults</b>	$\vdash \forall clear\ ts\ ds$ <ul style="list-style-type: none"> <li>• <math>colDefaults\ clear\ ts\ ds</math>  <math>= ds</math>  <math>\oplus\ \{(n,\ d)</math>  <math> \exists\ c</math>  <ul style="list-style-type: none"> <li>• <math>\neg c \in visibleCols\ clear\ ts</math>  <math>\wedge n = CS\_posn\ c</math>  <math>\wedge d = CS\_default\ c\}</math></li> </ul> </li> </ul>
<b>insertQuery</b>	$\vdash \forall clear\ i\ ds\ s\ ts$ <ul style="list-style-type: none"> <li>• <math>insertQuery\ (clear,\ (i,\ ds),\ s,\ ts)</math>  <math>= (let\ rl</math>  <math>= Map</math>  <math>(MkRow\ clear\ o\ colDefaults\ clear\ ts)</math>  <math>ds</math>  <math>in\ if\ \neg\ Elems\ rl\ \subseteq\ RowS</math>  <math>then\ (s,\ [ambiguousColumn])</math>  <math>else</math>  <math>(changeSpec</math>  <math>i</math>  <math>(replaceRows\ ts\ (TS\_rows\ ts\ @\ rl))</math>  <math>s,\ []))</math></li> </ul>
<b>deleteQuery</b>	$\vdash \forall clear\ i\ ns\ e\ s\ ts$ <ul style="list-style-type: none"> <li>• <math>deleteQuery\ (clear,\ (i,\ ns),\ s,\ ts)</math>  <math>= (let\ ns' = revealRow\ clear\ ts\ Image\ ns</math></li> </ul>

---

---

```

    in let ns''
      = ns'
        ∩ {i
          | R_exist (Nth (TS_rows ts) i) = clear}
    in let rs
      = Extract
        (1 .. # (TS_rows ts) \ ns'')
        (TS_rows ts)
      in changeSpec i (replaceRows ts rs) s)
updateField ⊢ ∀ clear table_class table_d u
  • updateField clear table_class (u, table_d)
    = (if clear = table_class
      then
        if isItem u
        then
          giveVal
            (MkData (Dat_class table_d) (destItem u))
        else if isClass u
        then
          if destClass u dominates Dat_class table_d
          then
            giveVal
              (MkData
                (destClass u)
                (Dat_item table_d))
            else giveError downGrade
          else giveVal (destData u)
        else if isItem u
        then
          if Dat_class table_d dominates clear
          then
            giveVal
              (MkData (Dat_class table_d) (destItem u))
            else giveError underClassified
          else giveError classChange)
  • updateRow clear table_class (us, r)
    = (if ¬ us ∈ Functional
      then giveError [ambiguousUpdate]
      else
        (let us'
          = RelCombine us (R_data r)
            ∘ Graph
              (updateField clear table_class)
          in let es
            = (us' ▷ {x|isError x})
              ∘ Graph destError
            in if es = {}

```

---

*then*  
*giveVal*  
*(MkRow*  
*(R\_exist r)*  
*(R\_data r  $\oplus$  us'  $\%$  Graph destVal))*  
*else giveError (RelList (Squash es))*)

**updateQuery**

$\vdash \forall$  *clear i us s ts*  
 • *updateQuery (clear, (i, us), s, ts)*  
 = (*let colnums*  
 = {*n*  
 |  $\exists$  *c*  
 • *c*  $\in$  *visibleCols clear ts*  
 $\wedge$  *CS\_posn c = n*}  
*in if*  $\neg$  *us*  $\in$  *Functional*  
*then* (*s*, [*ambiguousUpdate*])  
*else if*  $\neg$  *Dom* ( $\bigcup$  (*Ran us*))  $\subseteq$  *colnums*  
*then* (*s*, [*noSuchColumn*])  
*else*  
 (*let us' = revealRow clear ts  $\sim$   $\%$  us*  
*in let pr*  
 = *RelCombine*  
*us'*  
*(ListRel (TS\_rows ts))*  
 $\%$  *Graph*  
*(updateRow clear (TS\_class ts))*  
*in let es*  
 = (*pr*  $\triangleright$  {*x* | *isError x*} )  
 $\%$  *Graph destError*  
*in if* *es = {}*  
*then*  
*let rs*  
 = *RelList*  
*(ListRel (TS\_rows ts)*  
 $\oplus$  *pr*  
 $\%$  *Graph destVal*)  
*in (changeSpec*  
*i*  
*(replaceRows ts rs)*  
*s, [])*  
*else (s, Flat (RelList (Squash es)))))*

**updateStateR**  $\vdash \forall$  *clear ef es s*  
 • *updateStateR (clear, (ef, es), s)*  
 = (*if isSelect ef*  
*then (s, clear, destSelect ef, es)*  
*else if*  $\neg$  *es = []*  
*then (s, clear, [], es)*  
*else*

---

```

      (let i = tabFromEffect ef
        in if tabExists clear i s
          then
            let ts = getTable i s
              in if ¬ clear dominates TS_class ts
                then (s, clear, [], [accessDenied])
                else
                  (let (s', es')
                    = (if isInsert ef
                      then
                        insertQuery
                          (clear, destInsert ef, s,
                            ts)
                        else if isDelete ef
                          then
                            deleteQuery
                              (clear,
                                destDelete ef, s,
                                ts), [])
                      else
                        updateQuery
                          (clear, destUpdate ef, s,
                            ts))
                    in (s', clear, [], es'))
                  else (s, clear, [], [noSuchTable])))
updateState ⊢ ∀ clear ef es s
  • updateState (clear, (ef, es), s)
    = (let (sr, out)
      = updateStateR
        (clear, (ef, es), repState s)
      in (absState sr, out))

```



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