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G. M. Prout	WIN01		

Authorisation for Issue:

<i>Name</i>	<i>Function</i>	<i>Signature</i>	<i>Date</i>
R.B. Jones	HAT Manager		

Abstract: This document contains the formal proof of the unwinding result, part of the proof of security of the SSQI Abstract Machine for the DRA front end filter project RSRE 1C/6130.

Distribution: HAT FEF File
Simon Wiseman

0 DOCUMENT CONTROL

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0.2 Document Cross References

- [1] DS/FMU/017. *Secure Database Technical Proposal*. High Assurance Team, ICL Secure Systems, WIN01, 21st January 1992.
- [2] DS/FMU/FEF/003. *Formal Security Policy*. G.M. Prout, ICL Secure Systems, WIN01.
- [3] DS/FMU/FEF/005. *Specifications of *hide* and *updateState**. G.M. Prout, ICL Secure Systems, WIN01.
- [4] DS/FMU/FEF/006. *Security Conjecture for the *SSQL* Abstract Machine*. G.M. Prout, ICL Secure Systems, WIN01.

[5] DS/FMU/FEF/007. *Proof Strategy*. G.M. Prout, ICL Secure Systems, WIN01.

0.3 Changes History

Issue Revision : 3.2 (5 June 2016) Latest approved version.

Issue 3.3 Removed dependency on ICL logo font

0.4 Changes Forecast

None.

1 GENERAL

1.1 Scope

This document provides a formal proof of the unwinding result as specified in the proof strategy [5]. It constitutes deliverable D5 of work package 1b, as given in section 7 of the Secure Database Technical Proposal, [1].

1.2 Introduction

This document is a proof script which provides a formal proof of the unwinding result described in the proof strategy document [5]. Proof of the unwinding result comprises proofs of the lemmas 3,4 and 5 from [5]:

Lemma3

```

|      ?⊢      (hide ∈ secureHide
|              ∧
|              (hide,updateState) ∈ secureUpdate)
|              ⇒
|              SSQLtf ∈ secureStf

```

Lemma4

```

|      ?⊢      SSQLtf ∈ secureStf
|              ⇒
|              (iterate SSQLtf) ∈ secureItf

```

Lemma5

```

|      ?⊢      (iterate SSQLtf) ∈ secureItf
|              ⇒
|              behaviours SSQLam ∈ secure

```

where *hide* and *updateState* are defined in [3], *secureHide*, *secureUpdate*, *SSQLtf*, *secureStf* and *secureItf* are defined in [5], *iterate*, *behaviours* and *SSQLam* are defined in [4], and *secure* is defined in [2].

2 PRELIMINARIES

The following ProofPower instructions set up the new theory *fef009*.

SML

```

| open_theory "fef007";
| (force_delete_theory "fef009" handle _ => ());
| new_theory "fef009";
| push_merge_pcs ["hol", "wrk049", "'pair1' "];

```

In the proof script that follows, some output from the proof tool has been included for clarity where top level goals are split into subgoals. The proof script proper is in Standard ML, and is marked by a vertical bar on the left starting with the characters “SML”. Output from the proof tool is distinguished by a vertical bar on the left headed by “HOL output”. The subgoals consist of a *conclusion* to be proven and a number of *assumptions* which are available for use in the proof. The assumptions are listed first, followed by the conclusion.

2.1 Retrieving the Constants

The definitions of constants used in the specifications are retrieved from their defining theories.

SML

```
| val secureHide_def = get_spec⌈secureHide⌋;
| val secureUpdate_def = conv_rule(MAP_C let_conv)(get_spec⌈secureUpdate⌋);
| val SSQLtf_def = get_spec⌈SSQLtf⌋;
| val secureStf_def = conv_rule(MAP_C let_conv)(get_spec⌈secureStf⌋);
| val same_ins_def = conv_rule(MAP_C let_conv)(get_spec⌈same_ins⌋);
| val same_outs_def = conv_rule(MAP_C let_conv)(get_spec⌈same_outs⌋);
| val mkTf_def = get_spec⌈mkTf⌋;
| val secureItf_def = conv_rule(MAP_C let_conv)(get_spec⌈secureItf⌋);
| val behaviours_def = get_spec⌈behaviours⌋;
| val SSQLam_def = get_spec⌈SSQLam⌋;
| val secure_def = get_spec⌈secure⌋;
```

3 PROOF OF *Lemma3*

SML

```
| push_goal([],⌈Lemma3⌋);
| a(rewrite_tac[Lemma3,secureHide_def,secureUpdate_def,SSQLtf_def,secureStf_def,
|       same_ins_def,same_outs_def]);
| a(strip_tac THEN strip_tac);
```

HOL output

Tactic produced 2 subgoals:

```

(* *** Goal "1" *** *)

(* 7 *)  $\Gamma \forall c_1 c_2 s_1 s_2$ 
  •  $hide(c_1, s_1) = hide(c_1, s_2) \wedge c_1 \text{ dominates } c_2$ 
   $\Rightarrow hide(c_2, s_1) = hide(c_2, s_2)^\neg$ 
(* 6 *)  $\Gamma \forall c_1 c_2 s e$ 
  •  $\neg hide(c_2, s) = hide(c_2, Fst(updateState(c_1, e, s)))$ 
   $\Rightarrow c_2 \text{ dominates } c_1^\neg$ 
(* 5 *)  $\Gamma \forall c_1 c_2 s_1 s_2 e$ 
  •  $hide(c_1, s_1) = hide(c_1, s_2) \wedge c_1 \text{ dominates } c_2$ 
   $\Rightarrow hide(c_1, Fst(updateState(c_2, e, s_1)))$ 
   $= hide(c_1, Fst(updateState(c_2, e, s_2)))^\neg$ 
(* 4 *)  $\Gamma \forall c s_1 s_2 e$ 
  •  $hide(c, s_1) = hide(c, s_2)$ 
   $\Rightarrow Snd(updateState(c, e, s_1)) = Snd(updateState(c, e, s_2))^\neg$ 
(* 3 *)  $\Gamma \forall c s e \bullet Fst(Snd(updateState(c, e, s))) = c^\neg$ 
(* 2 *)  $\Gamma hide(c, s_1) = hide(c, s_2)^\neg$ 
(* 1 *)  $\Gamma [i_1] \uparrow \{(q, c') | c \text{ dominates } c'\}$ 
   $= [i_2] \uparrow \{(q, c') | c \text{ dominates } c'\}^\neg$ 

(* ? $\vdash$  *)  $\Gamma \forall s_1 s_2 i_1 i_2 c$ 
  •  $hide(c, s_1) = hide(c, s_2)$ 
   $\wedge [i_1] \uparrow \{(q, c') | c \text{ dominates } c'\} = [i_2] \uparrow \{(q, c') | c \text{ dominates } c'\}$ 
   $\Rightarrow hide(c, Fst(mkTf hide processQuery updateState(i_1, s_1)))$ 
   $= hide(c, Fst(mkTf hide processQuery updateState(i_2, s_2)))$ 
   $\wedge [Snd(mkTf hide processQuery updateState(i_1, s_1))$ 
   $\uparrow \{(c', d) | c \text{ dominates } c'\}$ 
   $= [Snd(mkTf hide processQuery updateState(i_2, s_2))$ 
   $\uparrow \{(c', d) | c \text{ dominates } c'\}^\neg$ 

```

SML

```

a(REPEAT  $\forall\_tac$  THEN strip_tac);
a(POP_ASM_T ante_tac THEN rewrite_tac[|_thm6]);
a  $\Rightarrow\_tac$ ;

```

HOL output

Tactic produced 2 subgoals:

```

(* *** Goal "1.1" *** *)

(* 7 *)  $\lceil \forall c_1 c_2 s_1 s_2$ 
  •  $hide(c_1, s_1) = hide(c_1, s_2) \wedge c_1 \text{ dominates } c_2$ 
   $\Rightarrow hide(c_2, s_1) = hide(c_2, s_2) \rceil$ 
(* 6 *)  $\lceil \forall c_1 c_2 s e$ 
  •  $\neg hide(c_2, s) = hide(c_2, Fst(updateState(c_1, e, s)))$ 
   $\Rightarrow c_2 \text{ dominates } c_1 \rceil$ 
(* 5 *)  $\lceil \forall c_1 c_2 s_1 s_2 e$ 
  •  $hide(c_1, s_1) = hide(c_1, s_2) \wedge c_1 \text{ dominates } c_2$ 
   $\Rightarrow hide(c_1, Fst(updateState(c_2, e, s_1)))$ 
   $= hide(c_1, Fst(updateState(c_2, e, s_2))) \rceil$ 
(* 4 *)  $\lceil \forall c s_1 s_2 e$ 
  •  $hide(c, s_1) = hide(c, s_2)$ 
   $\Rightarrow Snd(updateState(c, e, s_1)) = Snd(updateState(c, e, s_2)) \rceil$ 
(* 3 *)  $\lceil \forall c s e \bullet Fst(Snd(updateState(c, e, s))) = c \rceil$ 
(* 2 *)  $\lceil hide(c, s_1) = hide(c, s_2) \rceil$ 
(* 1 *)  $\lceil i_1 = i_2 \rceil$ 

(* ? $\vdash$  *)  $\lceil hide(c, Fst(mkTf hide processQuery updateState(i_1, s_1)))$ 
   $= hide$ 
   $(c, Fst(mkTf hide processQuery updateState(i_2, s_2)))$ 
 $\wedge (Snd(mkTf hide processQuery updateState(i_1, s_1)))$ 
   $= Snd(mkTf hide processQuery updateState(i_2, s_2))$ 
 $\vee \neg c \text{ dominates } Fst$ 
   $(Snd(mkTf hide processQuery updateState(i_1, s_1)))$ 
 $\wedge \neg c \text{ dominates } Fst(Snd(mkTf hide processQuery updateState(i_2, s_2))) \rceil$ 

```

SML

```

a(POP_ASM_T rewrite_thm_tac);
a(LEMMA_TΓ i2 = (Fst i2, Snd i2)Γ pure_once_rewrite_thm_tac
  THEN_LIST[rewrite_tac[], asm_rewrite_tac[mkTf_def]]);
a(cases_tacΓ c dominates Snd i2Γ THEN_TRY asm_rewrite_tac[]);
(* *** Goal "1.1.1" *** *)
a(lemma_tacΓ hide (Snd i2, s1) = hide (Snd i2, s2)Γ
  THEN_LIST[list_asm_ante_tac (map get_asm[1,2,7]) THEN prove_tac[],
  TOP_ASM_T rewrite_thm_tac]);
a(list_asm_ante_tac (map get_asm[1,2,3,5,6]) THEN prove_tac[]);
(* *** Goal "1.1.2" *** *)
a(list_spec_nth_asm_tac 6Γ Snd i2Γ,Γ cΓ,Γ s1Γ,
  ΓprocessQuery (Fst i2, Snd i2, hide (Snd i2, s1))Γ);
a(POP_ASM_T (rewrite_thm_tac o eq_sym_rule));
a(list_spec_nth_asm_tac 6Γ Snd i2:ClassΓ,Γ cΓ,Γ s2Γ,
  ΓprocessQuery (Fst i2, Snd i2, hide (Snd i2, s2))Γ);
a(POP_ASM_T (rewrite_thm_tac o eq_sym_rule));
a(DROP_NTH_ASM_T 2 rewrite_thm_tac);

```


HOL output

```

(* *** Goal "1.2" *** *)

(* 8 *)  $\Gamma \forall c_1 c_2 s_1 s_2$ 
  •  $hide(c_1, s_1) = hide(c_1, s_2) \wedge c_1 \text{ dominates } c_2$ 
     $\Rightarrow hide(c_2, s_1) = hide(c_2, s_2)^\neg$ 
(* 7 *)  $\Gamma \forall c_1 c_2 s e$ 
  •  $\neg hide(c_2, s) = hide(c_2, Fst(updateState(c_1, e, s)))$ 
     $\Rightarrow c_2 \text{ dominates } c_1^\neg$ 
(* 6 *)  $\Gamma \forall c_1 c_2 s_1 s_2 e$ 
  •  $hide(c_1, s_1) = hide(c_1, s_2) \wedge c_1 \text{ dominates } c_2$ 
     $\Rightarrow hide(c_1, Fst(updateState(c_2, e, s_1)))$ 
       $= hide(c_1, Fst(updateState(c_2, e, s_2)))^\neg$ 
(* 5 *)  $\Gamma \forall c s_1 s_2 e$ 
  •  $hide(c, s_1) = hide(c, s_2)$ 
     $\Rightarrow Snd(updateState(c, e, s_1)) = Snd(updateState(c, e, s_2))^\neg$ 
(* 4 *)  $\Gamma \forall c s e \bullet Fst(Snd(updateState(c, e, s))) = c^\neg$ 
(* 3 *)  $\Gamma hide(c, s_1) = hide(c, s_2)^\neg$ 
(* 2 *)  $\Gamma \neg c \text{ dominates } Snd i_1^\neg$ 
(* 1 *)  $\Gamma \neg c \text{ dominates } Snd i_2^\neg$ 

(* ?|+ *)  $\Gamma hide(c, Fst(mkTf hide processQuery updateState(i_1, s_1)))$ 
   $= hide$ 
     $(c, Fst(mkTf hide processQuery updateState(i_2, s_2)))$ 
   $\wedge (Snd(mkTf hide processQuery updateState(i_1, s_1)))$ 
     $= Snd(mkTf hide processQuery updateState(i_2, s_2))$ 
   $\vee \neg c \text{ dominates } Fst$ 
     $(Snd(mkTf hide processQuery updateState(i_1, s_1)))$ 
   $\wedge \neg c \text{ dominates } Fst(Snd(mkTf hide processQuery updateState(i_2, s_2)))^\neg$ 

```

SML

```

a(LEMMA_T  $\Gamma i_1 = (Fst i_1, Snd i_1)^\neg$  pure_once_rewrite_thm_tac
  THEN_LIST[rewrite_tac[], id_tac]);
a(LEMMA_T  $\Gamma i_2 = (Fst i_2, Snd i_2)^\neg$  pure_once_rewrite_thm_tac
  THEN_LIST[rewrite_tac[], asm_rewrite_tac[mkTf_def]]);
a(list_spec_nth_asm_tac 7 [ $\Gamma Snd i_1^\neg, \Gamma c^\neg, \Gamma s_1^\neg,$ 
   $\Gamma processQuery (Fst i_1, Snd i_1, hide (Snd i_1, s_1))^\neg$ ]);
a(POP_ASM_T (rewrite_thm_tac o eq_sym_rule));
a(list_spec_nth_asm_tac 7 [ $\Gamma Snd i_2^\neg, \Gamma c^\neg, \Gamma s_2^\neg,$ 
   $\Gamma processQuery (Fst i_2, Snd i_2, hide (Snd i_2, s_2))^\neg$ ]);
a(POP_ASM_T (rewrite_thm_tac o eq_sym_rule));
a(DROP_NTH_ASM_T 3 rewrite_thm_tac);

```

HOL output

```

(* *** Goal "2" *** *)

(* 5 *)  $\ulcorner \forall c_1 c_2 s_1 s_2$ 
  •  $hide(c_1, s_1) = hide(c_1, s_2) \wedge c_1 \text{ dominates } c_2$ 
   $\Rightarrow hide(c_2, s_1) = hide(c_2, s_2)\urcorner$ 
(* 4 *)  $\ulcorner \forall c_1 c_2 s e$ 
  •  $\neg hide(c_2, s) = hide(c_2, Fst(updateState(c_1, e, s)))$ 
   $\Rightarrow c_2 \text{ dominates } c_1\urcorner$ 
(* 3 *)  $\ulcorner \forall c_1 c_2 s_1 s_2 e$ 
  •  $hide(c_1, s_1) = hide(c_1, s_2) \wedge c_1 \text{ dominates } c_2$ 
   $\Rightarrow hide(c_1, Fst(updateState(c_2, e, s_1)))$ 
   $= hide(c_1, Fst(updateState(c_2, e, s_2)))\urcorner$ 
(* 2 *)  $\ulcorner \forall c s_1 s_2 e$ 
  •  $hide(c, s_1) = hide(c, s_2)$ 
   $\Rightarrow Snd(updateState(c, e, s_1)) = Snd(updateState(c, e, s_2))\urcorner$ 
(* 1 *)  $\ulcorner \forall c s e \bullet Fst(Snd(updateState(c, e, s))) = c\urcorner$ 

(* ?| * )  $\ulcorner \forall s i c \bullet \neg c \text{ dominates } Snd i$ 
   $\Rightarrow hide(c, s) = hide(c, Fst(mkTf hide processQuery updateState(i, s)))$ 
   $\wedge \neg c \text{ dominates } Fst(Snd(mkTf hide processQuery updateState(i, s)))\urcorner$ 

```

SML

```

a(REPEAT  $\forall\_tac$  THEN strip_tac);
a(LEMMA_T $\ulcorner i = (Fst i, Snd i)\urcorner$  pure_once_rewrite_thm_tac
  THEN_LIST[rewrite_tac[], asm_rewrite_tac[mkTf_def]]);
a(list_asm_ante_tac (map get_asm[1,5]) THEN prove_tac[]);
val lemma3_thm = save_pop_thm"Lemma3";

```

4 PROOF OF Lemma4

4.1 Auxiliary Results

We first prove the consistency of *iterate* by providing a witness *iterate_witness*, for use in the consistency proof. This definition is a primitive recursive definition whose consistency is automatically proven by the system.

HOL Constant

$$\begin{aligned} \text{iterate_witness} : & ((('QUERY \times Class) \times 'STATE) \rightarrow ('STATE \times (Class \times 'DATA))) \\ & \rightarrow 'STATE \rightarrow ('QUERY \times Class)LIST \\ & \rightarrow ('STATE \times ((Class \times 'DATA)LIST)) \end{aligned}$$

$$\begin{aligned} \forall s:'STATE; i:'QUERY \times Class; si:('QUERY \times Class) LIST; \\ f:('QUERY \times Class) \times 'STATE \rightarrow ('STATE \times (Class \times 'DATA)) \\ \bullet \quad \text{iterate_witness } f \ s \ [] = (s, []) \\ \wedge \\ \text{iterate_witness } f \ s \ (Cons \ i \ si) \\ = \\ (Fst(f(i, Fst(\text{iterate_witness } f \ s \ si))), \\ (Snd(\text{iterate_witness } f \ s \ si) \hat{\wedge} \\ [Snd(f(i, Fst(\text{iterate_witness } f \ s \ si))])) \end{aligned}$$

SML

```
push_consistency_goalΓ iterate⊢;
a(conv_tac(MAP_C let_conv));
a(∃_tacΓ λx (y,z) • iterate_witness x z (Rev y)⊢);
a(rewrite_tac[Γ _Cons_thm, rev_def, rev_sym_thm, get_specΓ iterate_witness⊢]);
val iterate_consistent = save_consistency_thmΓ iterate⊢ (pop_thm());
```

HOL output

```
iterate_consistent = ⊢ Consistent
(λ iterate'
  • ∀ s i si f
  • iterate' f ([], s) = (s, [])
  ∧ (let sso = iterate' f (si, s)
  in iterate' f (si ^ [i], s)
  = (Fst (f (i, Fst sso)), Snd sso ^ [Snd (f (i, Fst sso))])) : THM
```

Now the definition of *iterate* is retrieved with its consistency proof obligation discharged.

SML

```
val iterate_def = conv_rule(MAP_C let_conv)(get_specΓ iterate⊢);
```

HOL output

```
iterate_def = ⊢ ∀ s i si f
  • iterate f ([], s) = (s, [])
  ∧ iterate f (si ^ [i], s)
  = (Fst (f (i, Fst (iterate f (si, s))),
  Snd (iterate f (si, s)) ^ [Snd (f (i, Fst (iterate f (si, s)))]))
```

4.2 Lemma4

SML

```

push_goal([], ⌈ Lemma4 ⌋);
a(rewrite_tac[Lemma4, secureStf_def, secureItf_def]);
a strip_tac;
(** need to reorder variables **)
a(REPEAT ∀_tac);
a(MAP_EVERY intro_∀_tac[(⌈ s2 ⌋, ⌈ s2 ⌋), (⌈ s1 ⌋, ⌈ s1 ⌋), (⌈ c ⌋, ⌈ c ⌋), (⌈ si2 ⌋, ⌈ si2 ⌋)]);
a(REV_LIST_INDUCTION_T ⌈ si1 ⌋ asm_tac);

```

HOL output

```

Tactic produced 2 subgoals:

(* *** Goal "1" *** *)

(* 2 *) ⌈ ∀ s1 s2 i1 i2 c
  • hide (c, s1) = hide (c, s2) ∧ ([i1], [i2]) ∈ same_ins c
    ⇒ hide (c, Fst (SSQLtf (i1, s1)))
      = hide (c, Fst (SSQLtf (i2, s2)))
        ∧ ([Snd (SSQLtf (i1, s1))], [Snd (SSQLtf (i2, s2))]) ∈ same_outs c ⌋

(* 1 *) ⌈ ∀ s i c
  • ¬ c dominates Snd i
    ⇒ hide (c, s) = hide (c, Fst (SSQLtf (i, s)))
      ∧ ¬ c dominates Fst (Snd (SSQLtf (i, s))) ⌋

(* ?⊢ *) ⌈ ∀ si2 c s1 s2
  • hide (c, s1) = hide (c, s2) ∧ ([], si2) ∈ same_ins c
    ⇒ hide (c, Fst (iterate SSQLtf ([], s1)))
      = hide (c, Fst (iterate SSQLtf (si2, s2)))
        ∧ (Snd (iterate SSQLtf ([], s1)),
          Snd (iterate SSQLtf (si2, s2))) ∈ same_outs c ⌋

```

SML

```

a  $\forall$ _tac;
a(REV_LIST_INDUCTION_T  $\Gamma$   $si_2$   $\neg$ asm_tac);
(* *** Goal "1.1" *** *)
(* ***  $si_1, si_2$  both empty *** *)
a(rewrite_tac[iterate_def,same_ins_def,same_outs_def]);
(* *** Goal "1.2" *** *)
(* ***  $si_1$  empty,  $si_2$  non empty *** *)
a(REPEAT  $\forall$ _tac);
a(POP_ASM_T ante_tac);
a(rewrite_tac[iterate_def,same_ins_def,same_outs_def]);
a(cases_tac  $\Gamma$  c dominates Snd last  $\neg$  THEN asm_rewrite_tac []);
a(lemma_tac  $\Gamma$  (hide (c, Fst (iterate SSQ Ltf ( $si_2, s_2$ )))
    = hide (c, Fst (SSQ Ltf (last, Fst (iterate SSQ Ltf ( $si_2, s_2$ ))))))
     $\wedge$   $\neg$ (c dominates Fst
        (Snd (SSQ Ltf (last, Fst (iterate SSQ Ltf ( $si_2, s_2$ ))))))  $\neg$ 
    THEN_LIST[asm_prove_tac [],asm_rewrite_tac []]);
a  $\Rightarrow$ _tac;
a( $\Rightarrow$ _tac THEN asm_rewrite_tac []);
a(DROP_NTH_ASM_T 3 (ante_tac o list_ $\forall$ _elim [ $\Gamma$  c  $\neg$ ,  $\Gamma$   $s_1$   $\neg$ ,  $\Gamma$   $s_2$   $\neg$ ]) THEN asm_rewrite_tac []);

```

HOL output

```

(* *** Goal "2" *** *)

(* 3 *)  $\Gamma \forall s_1 s_2 i_1 i_2 c$ 
  •  $hide(c, s_1) = hide(c, s_2) \wedge ([i_1], [i_2]) \in same\_ins\ c$ 
     $\Rightarrow hide(c, Fst(SSQLtf(i_1, s_1)))$ 
       $= hide(c, Fst(SSQLtf(i_2, s_2)))$ 
     $\wedge ([Snd(SSQLtf(i_1, s_1))], [Snd(SSQLtf(i_2, s_2))])$ 
       $\in same\_outs\ c^\neg$ 

(* 2 *)  $\Gamma \forall s\ i\ c$ 
  •  $\neg\ c\ dominates\ Snd\ i$ 
     $\Rightarrow hide(c, s) = hide(c, Fst(SSQLtf(i, s)))$ 
       $\wedge \neg\ c\ dominates\ Fst(Snd(SSQLtf(i, s)))^\neg$ 

(* 1 *)  $\Gamma \forall si_2\ c\ s_1\ s_2$ 
  •  $hide(c, s_1) = hide(c, s_2) \wedge (si_1, si_2) \in same\_ins\ c$ 
     $\Rightarrow hide(c, Fst(iterate\ SSQLtf(si_1, s_1)))$ 
       $= hide(c, Fst(iterate\ SSQLtf(si_2, s_2)))$ 
     $\wedge (Snd(iterate\ SSQLtf(si_1, s_1)),$ 
       $Snd(iterate\ SSQLtf(si_2, s_2))) \in same\_outs\ c^\neg$ 

(* ? $\vdash$  *)  $\Gamma \forall last\ si_2\ c\ s_1\ s_2$ 
  •  $hide(c, s_1) = hide(c, s_2) \wedge (si_1 \hat{\ } [last], si_2) \in same\_ins\ c$ 
     $\Rightarrow hide(c, Fst(iterate\ SSQLtf(si_1 \hat{\ } [last], s_1)))$ 
       $= hide(c, Fst(iterate\ SSQLtf(si_2, s_2)))$ 
     $\wedge (Snd(iterate\ SSQLtf(si_1 \hat{\ } [last], s_1)),$ 
       $Snd(iterate\ SSQLtf(si_2, s_2))) \in same\_outs\ c^\neg$ 

```

SML

```

(** need to reorder variables **)
a(REPEAT  $\forall$ _tac);
a(MAP_EVERY intro_ $\forall$ _tac[( $\ulcorner s_2 \urcorner, \ulcorner s_2 \urcorner$ ),( $\ulcorner s_1 \urcorner, \ulcorner s_1 \urcorner$ ),( $\ulcorner c \urcorner, \ulcorner c \urcorner$ ),( $\ulcorner last \urcorner, \ulcorner last \urcorner$ )]);
a(POP_ASM_T ante_tac);
a(REV_LIST_INDUCTION_T  $\ulcorner si_2 \urcorner$  asm_tac);
(* *** Goal "2.1" *** *)
(* ***  $si_1$  non empty,  $si_2$  empty *** *)
a(rewrite_tac[iterate_def,same_ins_def,same_outs_def]);
a( $\Rightarrow$ _tac THEN REPEAT  $\forall$ _tac);
a(cases_tac  $\ulcorner c$  dominates Snd last  $\urcorner$  THEN asm_rewrite_tac[]);
a(lemma_tac  $\ulcorner$ hide (c, Fst (iterate SSQLtf ( $si_1$ ,  $s_1$ )))
    = hide (c, Fst (SSQLtf (last, Fst (iterate SSQLtf ( $si_1$ ,  $s_1$ ))))))
     $\wedge$   $\neg$ (c dominates Fst
        (Snd (SSQLtf (last, Fst (iterate SSQLtf ( $si_1$ ,  $s_1$ ))))))  $\urcorner$ 
    THEN_LIST[list_asm_ante_tac (map get_asm[1,3]) THEN prove_tac[],
        asm_rewrite_tac[]]);
a  $\Rightarrow$ _tac;
a(DROP_NTH_ASM_T 6 (ante_tac o list_ $\forall$ _elim[ $\ulcorner$ :(Query  $\times$  Class)LIST  $\urcorner, \ulcorner c \urcorner, \ulcorner s_1 \urcorner, \ulcorner s_2 \urcorner$ ])
    THEN asm_rewrite_tac[iterate_def]);

```

SML

```

(* *** Goal "2.2" *** *)
(* ***  $si_1, si_2$  both non empty *** *)
a ( $\forall$ _tac THEN POP_ASM_T ante_tac);
a(rewrite_tac[iterate_def,same_ins_def,same_outs_def]);
a( $\Rightarrow$ _T asm_tac THEN  $\Rightarrow$ _tac);
a(REPEAT  $\forall$ _tac);
a(cases_tac  $\ulcorner c$  dominates Snd last  $\urcorner$  THEN cases_tac  $\ulcorner c$  dominates Snd last  $\urcorner$ 
    THEN asm_rewrite_tac[] THEN  $\Rightarrow$ _tac);

```

HOL output

Tactic produced 4 subgoals:

(* *** Goal "2.2.1" *** *)

(* 9 *) $\lceil \forall s_1 s_2 i_1 i_2 c$
 $\bullet \text{hide}(c, s_1) = \text{hide}(c, s_2) \wedge ([i_1], [i_2]) \in \text{same_ins } c$
 $\Rightarrow \text{hide}(c, \text{Fst}(\text{SSQLtf}(i_1, s_1))) = \text{hide}(c, \text{Fst}(\text{SSQLtf}(i_2, s_2)))$
 $\wedge ([\text{Snd}(\text{SSQLtf}(i_1, s_1))], [\text{Snd}(\text{SSQLtf}(i_2, s_2))]) \in \text{same_outs } c \rceil$

(* 8 *) $\lceil \forall s i c \bullet \neg c \text{ dominates Snd } i$
 $\Rightarrow \text{hide}(c, s) = \text{hide}(c, \text{Fst}(\text{SSQLtf}(i, s)))$
 $\wedge \neg c \text{ dominates Fst}(\text{Snd}(\text{SSQLtf}(i, s))) \rceil$

(* 7 *) $\lceil (\forall si_2 c s_1 s_2 \bullet \text{hide}(c, s_1) = \text{hide}(c, s_2)$
 $\wedge si_1 \uparrow \{(q, c') \mid c \text{ dominates } c'\}$
 $= si_2 \uparrow \{(q, c') \mid c \text{ dominates } c'\}$
 $\Rightarrow \text{hide}(c, \text{Fst}(\text{iterate SSQLtf}(si_1, s_1)))$
 $= \text{hide}(c, \text{Fst}(\text{iterate SSQLtf}(si_2, s_2)))$
 $\wedge \text{Snd}(\text{iterate SSQLtf}(si_1, s_1)) \uparrow \{(c', d) \mid c \text{ dominates } c'\}$
 $= \text{Snd}(\text{iterate SSQLtf}(si_2, s_2)) \uparrow \{(c', d) \mid c \text{ dominates } c'\})$
 $\Rightarrow (\forall \text{last } c s_1 s_2 \bullet \text{hide}(c, s_1) = \text{hide}(c, s_2)$
 $\wedge (\text{if } c \text{ dominates Snd last}$
 $\text{then } (si_1 \uparrow \{(q, c') \mid c \text{ dominates } c'\}) \frown [\text{last}]$
 $\text{else } si_1 \uparrow \{(q, c') \mid c \text{ dominates } c'\})$
 $= si_2 \uparrow \{(q, c') \mid c \text{ dominates } c'\}$
 $\Rightarrow \text{hide}(c, \text{Fst}(\text{SSQLtf}(\text{last}, \text{Fst}(\text{iterate SSQLtf}(si_1, s_1))))))$
 $= \text{hide}(c, \text{Fst}(\text{iterate SSQLtf}(si_2, s_2)))$
 $\wedge (\text{if } c \text{ dominates Fst}(\text{Snd}(\text{SSQLtf}(\text{last},$
 $\text{Fst}(\text{iterate SSQLtf}(si_1, s_1))))))$
 then
 $(\text{Snd}(\text{iterate SSQLtf}(si_1, s_1)) \uparrow \{(c', d) \mid c \text{ dominates } c'\})$
 $\frown [\text{Snd}(\text{SSQLtf}(\text{last}, \text{Fst}(\text{iterate SSQLtf}(si_1, s_1))))]$
 else
 $\text{Snd}(\text{iterate SSQLtf}(si_1, s_1)) \uparrow \{(c', d) \mid c \text{ dominates } c'\}$
 $= \text{Snd}(\text{iterate SSQLtf}(si_2, s_2)) \uparrow \{(c', d) \mid c \text{ dominates } c'\}) \rceil$

(* 6 *) $\lceil \forall si_2 c s_1 s_2 \bullet \text{hide}(c, s_1) = \text{hide}(c, s_2)$
 $\wedge si_1 \uparrow \{(q, c') \mid c \text{ dominates } c'\} = si_2 \uparrow \{(q, c') \mid c \text{ dominates } c'\}$
 $\Rightarrow \text{hide}(c, \text{Fst}(\text{iterate SSQLtf}(si_1, s_1)))$
 $= \text{hide}(c, \text{Fst}(\text{iterate SSQLtf}(si_2, s_2)))$
 $\wedge \text{Snd}(\text{iterate SSQLtf}(si_1, s_1)) \uparrow \{(c', d) \mid c \text{ dominates } c'\}$
 $= \text{Snd}(\text{iterate SSQLtf}(si_2, s_2)) \uparrow \{(c', d) \mid c \text{ dominates } c'\} \rceil$

(* 5 *) $\lceil c \text{ dominates Snd last} \rceil$

(* 4 *) $\lceil c \text{ dominates Snd last}' \rceil$

(* 3 *) $\lceil \text{hide}(c, s_1) = \text{hide}(c, s_2) \rceil$

(* 2 *) $\lceil si_1 \uparrow \{(q, c') \mid c \text{ dominates } c'\} = si_2 \uparrow \{(q, c') \mid c \text{ dominates } c'\} \rceil$

(* 1 *) $\lceil \text{last}' = \text{last} \rceil$

HOL output

```

(* ?|- *) ⊢ hide(c, Fst(SSQLtf(last', Fst (iterate SSQLtf (si1, s1))))))
  = hide(c, Fst(SSQLtf(last, Fst (iterate SSQLtf (si2, s2))))))
  ∧ (if c dominates Fst(Snd(SSQLtf(last', Fst(iterate SSQLtf (si1, s1))))))
    then
      (Snd (iterate SSQLtf (si1, s1)) ⊢ {(c', d)|c dominates c'})
      ∧ [Snd(SSQLtf
          (last', Fst (iterate SSQLtf (si1, s1))))]
    else
      Snd (iterate SSQLtf (si1, s1)) ⊢ {(c', d)|c dominates c'})
  = (if c dominates Fst(Snd(SSQLtf(last, Fst (iterate SSQLtf (si2, s2))))))
    then
      (Snd (iterate SSQLtf (si2, s2)) ⊢ {(c', d)|c dominates c'})
      ∧ [Snd(SSQLtf(last, Fst (iterate SSQLtf (si2, s2))))]
    else
      Snd (iterate SSQLtf (si2, s2)) ⊢ {(c', d)|c dominates c'})⊢

```

SML

```

a(POP_ASM_T rewrite_thm_tac);
a(DROP_NTH_ASM_T 5(ante_tac o list_∀_elim[⊢ si2⊢, ⊢ c⊢, ⊢ si1⊢, ⊢ s2⊢]));
a(asm_rewrite_tac[] THEN ⇒_tac);
a(DROP_NTH_ASM_T 9(asm_tac o rewrite_rule[same_ins_def, same_outs_def]));
a(list_spec_nth_asm_tac 1 [⊢ Fst (iterate SSQLtf (si1, s1))⊢,
  ⊢ Fst (iterate SSQLtf (si2, s2))⊢, ⊢ last⊢, ⊢ last⊢, ⊢ c⊢]);
a(POP_ASM_T (ante_tac o rewrite_rule[⊢_thm6]));
a(REPEAT strip_tac THEN asm_rewrite_tac[]);

```

HOL output

```

(* *** Goal "2.2.2" *** *)

(* 8 *)  $\lceil \forall s_1 s_2 i_1 i_2 c$ 
  •  $hide(c, s_1) = hide(c, s_2) \wedge ([i_1], [i_2]) \in same\_ins\ c$ 
     $\Rightarrow hide(c, Fst(SSQLtf(i_1, s_1))) = hide(c, Fst(SSQLtf(i_2, s_2)))$ 
       $\wedge ([Snd(SSQLtf(i_1, s_1))], [Snd(SSQLtf(i_2, s_2))]) \in same\_outs\ c \rceil$ 
  .
  .
  .
(* 4 *)  $\lceil c\ dominates\ Snd\ last \rceil$ 
(* 3 *)  $\lceil \neg c\ dominates\ Snd\ last' \rceil$ 
(* 2 *)  $\lceil hide(c, s_1) = hide(c, s_2) \rceil$ 
(* 1 *)  $\lceil si_1 \uparrow \{(q, c') \mid c\ dominates\ c'\}$ 
  =  $(si_2 \uparrow \{(q, c') \mid c\ dominates\ c'\}) \wedge [last] \rceil$ 

(* ? $\vdash$  *)  $\lceil hide(c, Fst(SSQLtf(last', Fst(iterate\ SSQLtf\ (si_1, s_1))))$ 
  =  $hide(c, Fst(SSQLtf(last, Fst(iterate\ SSQLtf\ (si_2, s_2))))$ 
   $\wedge (if\ c\ dominates\ Fst(Snd(SSQLtf(last', Fst(iterate\ SSQLtf\ (si_1, s_1))))$ 
    then
       $(Snd(iterate\ SSQLtf\ (si_1, s_1)) \uparrow \{(c', d) \mid c\ dominates\ c'\})$ 
       $\wedge [Snd(SSQLtf$ 
         $(last', Fst(iterate\ SSQLtf\ (si_1, s_1)))]$ 
    else
       $Snd(iterate\ SSQLtf\ (si_1, s_1)) \uparrow \{(c', d) \mid c\ dominates\ c'\}$ 
  =  $(if\ c\ dominates\ Fst(Snd(SSQLtf(last, Fst(iterate\ SSQLtf\ (si_2, s_2))))$ 
    then
       $(Snd(iterate\ SSQLtf\ (si_2, s_2)) \uparrow \{(c', d) \mid c\ dominates\ c'\})$ 
       $\wedge [Snd(SSQLtf(last, Fst(iterate\ SSQLtf\ (si_2, s_2)))]$ 
    else
       $Snd(iterate\ SSQLtf\ (si_2, s_2)) \uparrow \{(c', d) \mid c\ dominates\ c'\} \rceil$ 

```

SML

```

a(lemma_tac $\Gamma$ (hide (c, Fst (iterate SSQLtf (si1, s1)))
  = hide (c, Fst (SSQLtf (last', Fst (iterate SSQLtf (si1, s1))))))
 $\wedge$   $\neg$ (c dominates Fst
  (Snd (SSQLtf (last', Fst (iterate SSQLtf (si1, s1)))))) $\Gamma$ 
  THEN_LIST[list_asm_ante_tac (map get_asm[3, $\gamma$ ]) THEN prove_tac[],
  asm_rewrite_tac[]]);
a(DROP_NTH_ASM_T  $\gamma$  (ante_tac o list_ $\forall$ _elim $\Gamma$  si2  $\wedge$  [last] $\Gamma$ ,  $\Gamma$ c $\Gamma$ ,  $\Gamma$ s1 $\Gamma$ ,  $\Gamma$ s2 $\Gamma$ ));
a(asm_rewrite_tac[iterate_def]);

```

HOL output

```

(* *** Goal "2.2.3" *** *)

(* 8 *)  $\Gamma$  $\forall$  s1 s2 i1 i2 c
  • hide (c, s1) = hide (c, s2)  $\wedge$  ([i1], [i2])  $\in$  same_ins c
     $\Rightarrow$  hide (c, Fst (SSQLtf (i1, s1))) = hide (c, Fst (SSQLtf (i2, s2)))
       $\wedge$  ([Snd (SSQLtf (i1, s1))], [Snd (SSQLtf (i2, s2))])  $\in$  same_outs c $\Gamma$ 
  .
  .
  .

(* 4 *)  $\Gamma$  $\neg$  c dominates Snd last $\Gamma$ 
(* 3 *)  $\Gamma$ c dominates Snd last' $\Gamma$ 
(* 2 *)  $\Gamma$ hide (c, s1) = hide (c, s2) $\Gamma$ 
(* 1 *)  $\Gamma$ (si1  $\uparrow$  {(q, c')|c dominates c'})  $\wedge$  [last'] = si2  $\uparrow$  {(q, c')|c dominates c'} $\Gamma$ 

(* ? $\vdash$  *)  $\Gamma$ hide(c,Fst(SSQLtf(last', Fst (iterate SSQLtf (si1, s1))))
  = hide(c,Fst(SSQLtf(last, Fst (iterate SSQLtf (si2, s2))))
   $\wedge$  (if c dominates Fst(Snd(SSQLtf(last',Fst(iterate SSQLtf (si1, s1))))
    then
      (Snd (iterate SSQLtf (si1, s1))  $\uparrow$  {(c', d)|c dominates c'})
         $\wedge$  [Snd(SSQLtf
          (last', Fst (iterate SSQLtf (si1, s1))))]
    else
      Snd (iterate SSQLtf (si1, s1))  $\uparrow$  {(c', d)|c dominates c'})
  = (if c dominates Fst(Snd(SSQLtf(last, Fst (iterate SSQLtf (si2, s2))))
    then
      (Snd (iterate SSQLtf (si2, s2))  $\uparrow$  {(c', d)|c dominates c'})
         $\wedge$  [Snd(SSQLtf(last, Fst (iterate SSQLtf (si2, s2))))]
    else
      Snd (iterate SSQLtf (si2, s2))  $\uparrow$  {(c', d)|c dominates c'} $\Gamma$ 

```

SML

```

a(lemma_tac⊢(hide (c, Fst (iterate SSQLtf (si2, s2)))
  = hide (c, Fst (SSQLtf (last, Fst (iterate SSQLtf (si2, s2))))))
  ∧ ¬(c dominates Fst
      (Snd (SSQLtf (last, Fst (iterate SSQLtf (si2, s2))))))⊢
  THEN_LIST[list_asm_ante_tac (map get_asm[4,7]) THEN prove_tac[],
            asm_rewrite_tac[]]);
a(DROP_NTH_ASM_T 8 ante_tac THEN DROP_NTH_ASM_T 7 rewrite_thm_tac);
a(⇒_T (ante_tac o list_∀_elim[⊢last',⊢c,⊢s1,⊢s2]));
a(asm_rewrite_tac[]);

```

HOL output

```

(* *** Goal "2.2.4" *** *)

(* 8 *) ⊢∀ s1 s2 i1 i2 c
  • hide (c, s1) = hide (c, s2) ∧ ([i1], [i2]) ∈ same_ins c
    ⇒ hide (c, Fst (SSQLtf (i1, s1))) = hide (c, Fst (SSQLtf (i2, s2)))
      ∧ ([Snd (SSQLtf (i1, s1))], [Snd (SSQLtf (i2, s2))]) ∈ same_outs c⊢
  .
  .
  .

(* 4 *) ⊢¬ c dominates Snd last⊢
(* 3 *) ⊢¬ c dominates Snd last'⊢
(* 2 *) ⊢hide (c, s1) = hide (c, s2)⊢
(* 1 *) ⊢si1 † {(q, c')|c dominates c'} = si2 † {(q, c')|c dominates c'}⊢

(* ?† *) ⊢hide(c,Fst(SSQLtf(last', Fst (iterate SSQLtf (si1, s1))))
  = hide(c,Fst(SSQLtf(last, Fst (iterate SSQLtf (si2, s2))))
  ∧ (if c dominates Fst(Snd(SSQLtf(last',Fst(iterate SSQLtf (si1, s1))))
    then (Snd (iterate SSQLtf (si1, s1)) † {(c', d)|c dominates c'})
      ∧ [Snd(SSQLtf(last', Fst (iterate SSQLtf (si1, s1))))]
    else Snd (iterate SSQLtf (si1, s1)) † {(c', d)|c dominates c'})
  = (if c dominates Fst(Snd(SSQLtf(last, Fst (iterate SSQLtf (si2, s2))))
    then (Snd (iterate SSQLtf (si2, s2)) † {(c', d)|c dominates c'})
      ∧ [Snd(SSQLtf(last, Fst (iterate SSQLtf (si2, s2))))]
    else Snd (iterate SSQLtf (si2, s2)) † {(c', d)|c dominates c'})⊢

```

SML

```

a(lemma_tac  $\Uparrow$ (hide (c, Fst (iterate SSQLtf (si1, s1))))
  = hide (c, Fst (SSQLtf (last', Fst (iterate SSQLtf (si1, s1))))))
   $\wedge$   $\neg$ (c dominates Fst
        (Snd (SSQLtf (last', Fst (iterate SSQLtf (si1, s1))))))
   $\wedge$  (hide (c, Fst (iterate SSQLtf (si2, s2))))
  = hide (c, Fst (SSQLtf (last, Fst (iterate SSQLtf (si2, s2))))))
   $\wedge$   $\neg$ (c dominates Fst
        (Snd (SSQLtf (last, Fst (iterate SSQLtf (si2, s2)))))) $\Uparrow$ 
  THEN_LIST[list_asm_ante_tac (map get_asm[3,4,7]) THEN prove_tac[],
            asm_rewrite_tac[]];
a(DROP_NTH_ASM_T 2 (rewrite_thm_tac o eq_sym_rule));
a(DROP_NTH_ASM_T 3 (rewrite_thm_tac o eq_sym_rule));
a(list_asm_ante_tac (map get_asm[3,4,7]) THEN prove_tac[]);
val lemma4_thm = save_pop_thm"Lemma4";

```

5 PROOF OF Lemma5

SML

```

push_goal([],  $\Uparrow$  Lemma5 $\Uparrow$ );
a(rewrite_tac[Lemma5,secureItf_def,behaviours_def,SSQLam_def,
            secure_def,eq_sym_rule SSQLtf_def]);
a(REPEAT strip_tac);
a(list_spec_nth_asm_tac 2 [ $\Uparrow$ isstate $\Uparrow$ , $\Uparrow$ isstate $\Uparrow$ , $\Uparrow$ si1 $\Uparrow$ , $\Uparrow$ si2 $\Uparrow$ , $\Uparrow$ clear $\Uparrow$ ]);
val lemma5_thm = save_pop_thm"Lemma5";

```

6 REMAINING PROOF

Taking the main theorem to be proven, from the proof strategy document,[5], we can simplify the result using the proofs of *Lemma3*, *Lemma4* and *Lemma5*.

SML

```

val main_thm1 = save_thm("main_thm1",
  rewrite_rule[lemma3_thm,lemma4_thm,lemma5_thm]main_thm);

```

HOL output

```

main_thm1 =  $\vdash$  Lemma1  $\Rightarrow$  behaviours SSQLam  $\in$  secure

```

where *Lemma1*, from the proof strategy document [5], is:

```

 $\vdash$  hide  $\in$  secureHide  $\wedge$  (hide,updateState)  $\in$  secureUpdate

```

7 CLOSING DOWN

The following ProofPower instruction restores the previous proof context.

SML

```
|pop-pc();
```

8 THE THEORY fef009

8.1 Parents

fef007

8.2 Children

fef010

8.3 Constants

iterate_witness

$$\begin{aligned}
& (('QUERY \times Class) \times 'STATE \rightarrow 'STATE \times Class \times 'DATA) \\
& \rightarrow 'STATE \\
& \rightarrow ('QUERY \times Class) LIST \\
& \rightarrow 'STATE \times (Class \times 'DATA) LIST
\end{aligned}$$

8.4 Definitions

iterate_witness

$$\begin{aligned}
& \vdash \forall s i si f \\
& \bullet \text{iterate_witness } f \ s \ [] = (s, []) \\
& \quad \wedge \text{iterate_witness } f \ s \ (\text{Cons } i \ si) \\
& \quad = (\text{Fst } (f \ (i, \text{Fst } (\text{iterate_witness } f \ s \ si))), \\
& \quad \quad \text{Snd } (\text{iterate_witness } f \ s \ si) \\
& \quad \quad @ [\text{Snd} \\
& \quad \quad \quad (f \\
& \quad \quad \quad \quad (i, \\
& \quad \quad \quad \quad \quad \text{Fst} \\
& \quad \quad \quad \quad \quad \quad (\text{iterate_witness} \\
& \quad \quad \quad \quad \quad \quad \quad f \\
& \quad \quad \quad \quad \quad \quad \quad s \\
& \quad \quad \quad \quad \quad \quad \quad si)))]))
\end{aligned}$$

8.5 Theorems

Lemma3 \vdash *Lemma3*

iterate_consistent

$$\begin{aligned}
& \vdash \text{Consistent} \\
& \quad (\lambda \text{iterate}' \\
& \quad \bullet \forall s i si f \\
& \quad \bullet \text{iterate}' \ f \ ([], s) = (s, []) \\
& \quad \quad \wedge (\text{let } sso = \text{iterate}' \ f \ (si, s) \\
& \quad \quad \text{in } \text{iterate}' \ f \ (si @ [i], s) \\
& \quad \quad = (\text{Fst } (f \ (i, \text{Fst } sso)), \\
& \quad \quad \quad \text{Snd } sso @ [\text{Snd } (f \ (i, \text{Fst } sso))]))))
\end{aligned}$$

Lemma4 \vdash *Lemma4*
Lemma5 \vdash *Lemma5*
main_thm1 \vdash *Lemma1* \Rightarrow *behaviours SSQLam* \in *secure*

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