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Abstract: This document completes the table computations security proof and uses it with the partial results on the execution model security to complete the programme of partial proof work for Phase II of the DRA front end filter project RSRE 1C/6130.

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0 DOCUMENT CONTROL

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0.2 Document Cross References

- [1] DS/FMU/FEF/002. *Errors in the Specifications*. G.M. Prout, ICL Secure Systems, WIN01.
- [2] DS/FMU/FEF/018. *Proposal for Phase 2*. G.M. Prout, ICL Secure Systems, WIN01.
- [3] DS/FMU/FEF/031. *Execution Model Security Proofs*. R.D. Arthan, ICL Secure Systems, WIN01.
- [4] DS/FMU/FEF/032. *Table Computations for SWORD*. R.D. Arthan, ICL Secure Systems, WIN01.
- [5] DS/FMU/FEF/033. *Value Computation Security Proofs*. R.D. Arthan, ICL Secure Systems, WIN01.
- [6] DS/FMU/FEF/034. *Phase II Proof Strategy*. R.D. Arthan, ICL Secure Systems, WIN01.
- [7] DS/FMU/FEF/035. *Table Computation Security Proofs*. R.D. Arthan and G.M. Prout, ICL Secure Systems, WIN01.
- [8] *SSQL Transformations*. Simon Wiseman, DRA, 14th January 1993.

0.3 Changes History

Issue 1.1 (4 November 1993) First draft.

Issue 1.2 (5 November 1993) First draft for review by DRA.

Issue *Revision : 2.1* (5 June 2016) Final approved version.

Issue 2.2 Removed dependency on ICL logo font

0.4 Changes Forecast

None.

1 GENERAL

1.1 Scope

This document provides a formal proof of the conjecture *TableComputationsSecure* from the proof strategy, [6]. This result is used, together with the partial proof achieved in [3] of the execution model conjecture *EM_SecureE* of [6], to produce the best partial proof for Phase II. It constitutes part of deliverable D13 of work package 3, as given in section 3 of the Proposal for Phase 2, [2].

1.2 Introduction

In “Table Computations for SWORD”, [4], semantic functions for the value and table computations are defined together with corresponding critical properties *OK_VC_d*, *OK_VC_c*, *OK_TC_d* and *OK_TC_c*. Documents [5] and [7] provide formal proofs that all these semantics functions satisfy their identified critical properties (which constitute inductive steps in a proof by induction over the syntax of SSQL). We now bring together these proofs to prove the conjecture *TableComputationsSecure* of the proof strategy, [6]. This is achieved via an induction principle for the set of semantic functions, in a similar manner to that of the example in section 3.3 of [4].

During the production of the specifications of the semantic functions and during the process of proving that they satisfy their identified critical properties, errors in the underlying query transformation specifications of [8] came to light. These errors are significant in that they if they are mirrored in the implementation then, the implementation will not be secure. We give a brief account of measures taken to correct the specifications.

Finally, we provide our sharpest partial result on the overall system security. The theorems proved in [3, 5, 7] are used to improve on *Theorem₄* of the proof strategy, [6].

2 PRELIMINARIES

The following ProofPower instructions set up a new theory *fef036* to hold the theorems to be proved and set up a proof context in which to carry out the proofs.

SML

```
|open_theory "fef035";
|force_delete_theory "fef036" handle _ => ();
|new_theory "fef036";
|set_pc "hol";
```

3 TABLE COMPUTATIONS SECURITY PROOF

3.1 Induction Principle

[4] gives an account of reducing the critical requirements on the SSQL Transformation Processor by placing a bound on the allowed TSQL queries it produces. This involved a reformulation of the classification computations which underlie the query transformations defined in [8] in terms of a relational algebra model of SSQL execution. The semantic functions defined in [4] bring out the security checks which correspond to each of the constructors of the SSQL language. The overall security checks required by the SSQL semantics are then reduced to properties of the individual constructors by an induction principle for the set of semantic functions.

We first state and prove the induction principle as a HOL theorem.

SML

```

set_goal([],  $\ulcorner \forall cc: Class \bullet$ 
   $\forall tcs : TABLE\_COMP SET; vcs : VALUE\_COMP SET \bullet$ 
     $(\forall ci \bullet DenoteConstant ci \in vcs)$ 
   $\wedge (\forall i \bullet Contents i \in vcs)$ 
   $\wedge (\forall i \bullet Classification i \in vcs)$ 
   $\wedge CountAll \in vcs$ 

   $\wedge (\forall f e \bullet e \in vcs \Rightarrow MonOp f e \in vcs)$ 
   $\wedge (\forall f e1 e2 \bullet e1 \in vcs \wedge e2 \in vcs \Rightarrow BinOp f e1 e2 \in vcs)$ 
   $\wedge (\forall f e1 e2 e3 \bullet e1 \in vcs \wedge e2 \in vcs \wedge e3 \in vcs \Rightarrow TriOp f e1 e2 e3 \in vcs)$ 
   $\wedge (\forall el \bullet Elems el \subseteq vcs \Rightarrow BinOpAnd cc el \in vcs)$ 
   $\wedge (\forall el \bullet Elems el \subseteq vcs \Rightarrow BinOpOr cc el \in vcs)$ 

   $\wedge (\forall te cel ee \bullet te \in vcs \wedge Elems(Map Fst cel) \subseteq vcs \wedge$ 
     $Elems(Map Snd cel) \subseteq vcs \wedge ee \in vcs \Rightarrow$ 
     $CaseVal cc te cel ee \in vcs)$ 
   $\wedge (\forall cel ee \bullet Elems(Map Fst cel) \subseteq vcs \wedge$ 
     $Elems(Map Snd cel) \subseteq vcs \wedge ee \in vcs \Rightarrow$ 
     $Case cc cel ee \in vcs)$ 

   $\wedge (\forall e \bullet e \in vcs \Rightarrow SetFuncAllAnd cc e \in vcs)$ 
   $\wedge (\forall e \bullet e \in vcs \Rightarrow SetFuncAllOr cc e \in vcs)$ 
   $\wedge (\forall e \bullet e \in vcs \Rightarrow CountNonNull e \in vcs)$ 
   $\wedge (\forall e \bullet e \in vcs \Rightarrow CountDistinct e \in vcs)$ 
   $\wedge (\forall e \bullet e \in vcs \Rightarrow CommonValue e \in vcs)$ 

   $\wedge (\forall f e \bullet e \in vcs \Rightarrow SetFuncAll f e \in vcs)$ 
   $\wedge (\forall f e \bullet e \in vcs \Rightarrow SetFuncDistinct f e \in vcs)$ 

   $\wedge (\forall te \bullet te \in tcs \Rightarrow ExistsTuples cc te \in vcs)$ 

```

```

    ∧      (∀te• te ∈ tcs ⇒ SingleValue cc te ∈ vcs)

    ∧      (JoinedRowExistence cc ∈ vcs)
    ∧      (∀i• TableContents i ∈ tcs)

    ∧      (∀esl tel e1 ml nl e2• Elems(Map Fst esl) ⊆ vcs
              ∧ Elems tel ⊆ tcs ∧ e1 ∈ vcs ∧ e2 ∈ vcs
              ⇒ AllTuples cc esl tel e1 ml nl e2 ∈ tcs)
⇒      TableComputations cc ⊆ tcs
    ∧      ValueComputations cc ⊆ vcs
  ⊃);

```

SML

```

a(REPEAT ∀_tac THEN strip_tac
  THEN rewrite_tac[rewrite_rule[get_specΓ∩2⊃] (get_specΓ TableComputations⊃)]
  THEN PC_T1 "sets_ext1" REPEAT strip_tac);
(* *** Goal "1" *** *)
a(POP_ASM_T (strip_asm_tac o ∀_elimΓ tcs⊃)
  THEN POP_ASM_T (ante_tac o ∀_elimΓ vcs⊃)
  THEN asm_rewrite_tac[]);
(* *** Goal "2" *** *)
a(POP_ASM_T (strip_asm_tac o ∀_elimΓ vcs⊃)
  THEN POP_ASM_T (ante_tac o ∀_elimΓ tcs⊃)
  THEN asm_rewrite_tac[]);
val table_computation_induction_thm =
  save_pop_thm "table_computation_induction_thm";

```

3.2 Proof of *TableComputationsSecure*

We now wish to prove the conjecture *TableComputationsSecure* from [6], which asserts the following:

$$|? \vdash \quad \forall cc \bullet \text{TableComputations } cc \subseteq \text{OkTableComputation } cc$$

Now the following theorem, *OK-TC_d-lemma*, has been proved in [5]:

$$| \vdash \quad \forall c \bullet \text{OK-TC}_d \ c \subseteq \text{OkTableComputation } c$$

To prove *TableComputationsSecure*, it is therefore sufficient to prove:

$$|? \vdash \quad \forall cc \bullet \text{TableComputations } cc \subseteq \text{OK-TC}_d \ cc$$

We prove the above by an induction over the syntax of table computations. Now the set of table computations is defined in [4] simultaneously with the set of value computations. Moreover, it

turns out that in the inductive steps for the *AllTuple* form of table computation we need a rather stronger inductive hypothesis than the above. The sets OK_VC_d and OK_VC_c defined in [4] embody the hypotheses required on the value computations and the set OK_TC_c gives the necessary strengthening of the hypotheses on the table computations. Thus we shall prove the following stronger result:

$$\begin{array}{l} |? \vdash \quad \forall cc \bullet \text{TableComputations } cc \subseteq OK_TC_d \text{ } cc \cap OK_TC_c \text{ } cc \\ | \quad \wedge \quad \text{ValueComputations } cc \subseteq OK_VC_d \text{ } cc \cap OK_VC_c \text{ } cc \end{array}$$

Now in the proofs of the inductive steps in [5, 7], the OK_xC_d and OK_xC_c properties are handled separately and, by and large, only those parts of the hypotheses which are required are stated and used. This may be seen in the following extract from the listing of theory *fef033*:

```
BinOp_OK_d-lemma
  ⊢ ∀ c f vc1 vc2
    • vc1 ∈ OK_VC_d c ∧ vc2 ∈ OK_VC_d c
      ⇒ BinOp f vc1 vc2 ∈ OK_VC_d c
...
BinOp_OK_c-lemma
  ⊢ ∀ c f vc1 vc2
    • vc1 ∈ OK_VC_c c ∧ vc2 ∈ OK_VC_c c
      ⇒ BinOp f vc1 vc2 ∈ OK_VC_c c
...
Case_OK_d-lemma  ⊢ ∀ c cel ee
    • Elems (Map Fst cel) ⊆ OK_VC_d c
      ∧ Elems (Map Snd cel) ⊆ OK_VC_d c
      ∧ ee ∈ OK_VC_d c
      ⇒ Case c cel ee ∈ OK_VC_d c
...
Case_OK_c-lemma  ⊢ ∀ c cel ee
    • Elems (Map Fst cel) ⊆ OK_VC_d c
      ∧ Elems (Map Fst cel) ⊆ OK_VC_c c
      ∧ Elems (Map Snd cel) ⊆ OK_VC_c c
      ∧ ee ∈ OK_VC_c c
      ⇒ Case c cel ee ∈ OK_VC_c c
```

So, for example, *Case_OK_c-lemma*, the part of the inductive step for the *Case* (conditional) value form concerned with OK_VC_c , requires the constituents giving the boolean conditions (first components) to lie in both OK_VC_d and OK_VC_c , whereas the constituents giving the result values (second components) need only lie in OK_VC_c . Thus, the results proved in [5, 7] yield slightly more detailed information than is actually required for the inductive proof.

To apply the induction principle of the previous section, we need to use the various lemmas of [5, 7] to derive the precise form needed for the theorems giving the inductive steps. For example, we need the following for the *Case* form:

$$\begin{aligned} & \vdash \forall c \text{ cel } ee \\ & \bullet \text{ Elems } (\text{Map Fst cel}) \subseteq \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\ & \quad \wedge \text{ Elems } (\text{Map Snd cel}) \subseteq \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\ & \quad \wedge ee \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\ & \Rightarrow \text{Case c cel ee} \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \end{aligned}$$

Since there are 23 inductive steps to be dealt with, it is convenient to use the programmable access to the theory database that **ProofPower** provides to assemble the proofs of the inductive steps in the desired form. In the rest of this section, we do this.

First of all, we set an ML variable *ok-vc-tc-conjs* to hold terms expressing the desired inductive steps. At the cost of some clarity these terms could alternatively all be computed by substitution into the constituents of the theorem expressing the induction principle.

SML

```
val ok_vc_tc_conjs : TERM list = map (curry mk_∀ ⌈c:Class⌋)
[
⌈∀ci• DenoteConstant ci ∈ OK_VC_d c ∩ OK_VC_c c⌋,
⌈∀i• Contents i ∈ OK_VC_d c ∩ OK_VC_c c⌋,
⌈∀i• Classification i ∈ OK_VC_d c ∩ OK_VC_c c⌋,
⌈CountAll ∈ OK_VC_d c ∩ OK_VC_c c⌋,
```

SML

```
⌈∀f e• e ∈ OK_VC_d c ∩ OK_VC_c c ⇒ MonOp f e ∈ OK_VC_d c ∩ OK_VC_c c⌋,
⌈∀f e1 e2• e1 ∈ OK_VC_d c ∩ OK_VC_c c ∧ e2 ∈ OK_VC_d c ∩ OK_VC_c c ⇒
  BinOp f e1 e2 ∈ OK_VC_d c ∩ OK_VC_c c⌋,
⌈∀f e1 e2 e3• e1 ∈ OK_VC_d c ∩ OK_VC_c c ∧ e2 ∈ OK_VC_d c ∩ OK_VC_c c ∧
  e3 ∈ OK_VC_d c ∩ OK_VC_c c ⇒
  TriOp f e1 e2 e3 ∈ OK_VC_d c ∩ OK_VC_c c⌋,
⌈∀el• Elems el ⊆ OK_VC_d c ∩ OK_VC_c c ⇒ BinOpAnd c el ∈ OK_VC_d c ∩ OK_VC_c c⌋,
⌈∀el• Elems el ⊆ OK_VC_d c ∩ OK_VC_c c ⇒ BinOpOr c el ∈ OK_VC_d c ∩ OK_VC_c c⌋,
```

SML

```
⌈∀te cel ee•
  te ∈ OK_VC_d c ∩ OK_VC_c c ∧ Elems(Map Fst cel) ⊆ OK_VC_d c ∩ OK_VC_c c ∧
  Elems(Map Snd cel) ⊆ OK_VC_d c ∩ OK_VC_c c ∧ ee ∈ OK_VC_d c ∩ OK_VC_c c ⇒
  CaseVal c te cel ee ∈ OK_VC_d c ∩ OK_VC_c c⌋,
⌈∀cel ee• Elems(Map Fst cel) ⊆ OK_VC_d c ∩ OK_VC_c c ∧
  Elems(Map Snd cel) ⊆ OK_VC_d c ∩ OK_VC_c c ∧ ee ∈ OK_VC_d c ∩ OK_VC_c c ⇒
  Case c cel ee ∈ OK_VC_d c ∩ OK_VC_c c⌋,
```


SML

```

| $\ulcorner \forall e \bullet e \in OK\_VC_d\ c \cap OK\_VC_c\ c \Rightarrow SetFuncAllAnd\ c\ e \in OK\_VC_d\ c \cap OK\_VC_c\ c \urcorner,$ 
| $\ulcorner \forall e \bullet e \in OK\_VC_d\ c \cap OK\_VC_c\ c \Rightarrow SetFuncAllOr\ c\ e \in OK\_VC_d\ c \cap OK\_VC_c\ c \urcorner,$ 
| $\ulcorner \forall e \bullet e \in OK\_VC_d\ c \cap OK\_VC_c\ c \Rightarrow CountNonNull\ e \in OK\_VC_d\ c \cap OK\_VC_c\ c \urcorner,$ 
| $\ulcorner \forall e \bullet e \in OK\_VC_d\ c \cap OK\_VC_c\ c \Rightarrow CountDistinct\ e \in OK\_VC_d\ c \cap OK\_VC_c\ c \urcorner,$ 
| $\ulcorner \forall e \bullet e \in OK\_VC_d\ c \cap OK\_VC_c\ c \Rightarrow CommonValue\ e \in OK\_VC_d\ c \cap OK\_VC_c\ c \urcorner,$ 

| $\ulcorner \forall f\ e \bullet e \in OK\_VC_d\ c \cap OK\_VC_c\ c \Rightarrow SetFuncAll\ f\ e \in OK\_VC_d\ c \cap OK\_VC_c\ c \urcorner,$ 
| $\ulcorner \forall f\ e \bullet e \in OK\_VC_d\ c \cap OK\_VC_c\ c \Rightarrow SetFuncDistinct\ f\ e \in OK\_VC_d\ c \cap OK\_VC_c\ c \urcorner,$ 

| $\ulcorner \forall te \bullet te \in OK\_TC_d\ c \cap OK\_TC_c\ c \Rightarrow ExistsTuples\ c\ te \in OK\_VC_d\ c \cap OK\_VC_c\ c \urcorner,$ 
| $\ulcorner \forall te \bullet te \in OK\_TC_d\ c \cap OK\_TC_c\ c \Rightarrow SingleValue\ c\ te \in OK\_VC_d\ c \cap OK\_VC_c\ c \urcorner,$ 
| $\ulcorner JoinedRowExistence\ c \in OK\_VC_d\ c \cap OK\_VC_c\ c \urcorner,$ 

```

SML

```

| $\ulcorner \forall i \bullet TableContents\ i \in OK\_TC_d\ c \cap OK\_TC_c\ c \urcorner,$ 
| $\ulcorner \forall esl\ tel\ e1\ ml\ nl\ e2 \bullet$ 
|    $Elms\ (Map\ Fst\ esl) \subseteq OK\_VC_d\ c \cap OK\_VC_c\ c \wedge$ 
|    $Elms\ tel \subseteq OK\_TC_d\ c \cap OK\_TC_c\ c \wedge\ e1 \in OK\_VC_d\ c \cap OK\_VC_c\ c \wedge$ 
|    $e2 \in OK\_VC_d\ c \cap OK\_VC_c\ c$ 
|    $\Rightarrow AllTuples\ c\ esl\ tel\ e1\ ml\ nl\ e2 \in OK\_TC_d\ c \cap OK\_TC_c\ c \urcorner$ 
|];

```

We will need some theorems to use as rewrite rules to eliminate \cap . The following commands cause these theorems to be proved automatically and held in the ML variable \cap_thms for future reference.

SML

```

| $val\ \cap\_thms : THM\ list = map\ (pc\_rule1\ "sets\_ext1"\ prove\_rule[]) [$ 
|    $\ulcorner \forall x\ a\ b \bullet x \in a \cap b \Leftrightarrow x \in a \wedge x \in b \urcorner,$ 
|    $\ulcorner \forall c\ a\ b \bullet c \subseteq a \cap b \Leftrightarrow c \subseteq a \wedge c \subseteq b \urcorner];$ 

```

We now retrieve all the lemmas from [5] and [7] where the right hand side of the conclusion is of the form $\in OK_VC_d$, $\in OK_VC_c$, $\in OK_TC_d$, or $\in OK_TC_d$. We set an ML variable $ok_vc_tc_thms$ to hold this list of lemmas, each lemma having any uses of \cap expanded out and each being tagged with a term indicating which language construct the lemma is concerned with.

SML

```

val ok_vc_tc_thms : (TERM * THM) list =
  map
    (fn (-, t) =>
      ((hd o rev o strip_=> o snd o strip_∀ o concl) t, rewrite_rule ∩_thms t
        handle Fail _ => t))
    ((get_thms"fef033" @ get_thms"fef035")
     drop
     (fn t =>
       let   val x = (fst o dest_app o snd o dest_app o hd o rev o
                     strip_=> o snd o strip_∀ o concl o snd) t
           in   not(x = $ ⌈OK_VC_d⌋) andalso not(x = $ ⌈OK_VC_c⌋)
              andalso not(x = $ ⌈OK_TC_d⌋) andalso not(x = $ ⌈OK_TC_c⌋)
           end   handle Fail _ => true));

```

Given one of the subgoals arising when \cap is eliminated from one of the inductive steps, the following tactic, *ok_vc_tc_tac*, finds the appropriate theorem in *ok_vc_tc_thms* to solve the subgoal and uses it to do just that:

SML

```

val ok_vc_tc_tac : TACTIC = (fn gl as (-, cnc) =>
  let   val th = snd(find ok_vc_tc_thms
                     (fn (t, _) =>
                       (term_match cnc t; true) handle Fail _ => false));
  in   SOLVED_T (all_fc_tac[th] THEN asm_rewrite_tac[])
      ORELSE rewrite_tac[th]
  end   gl
);

```

The following rule (i.e. theorem-computing function) is intended to be given a term expressing one of the inductive steps as its argument; it eliminates \cap from the term and then uses *ok_vc_tc_tac* to prove it.

SML

```

fun ok_vc_tc_rule (t : TERM) : THM = (
  tac_proof(([], t), once_rewrite_tac ∩_thms THEN REPEAT strip_tac THEN ok_vc_tc_tac)
);

```

The list of theorems giving the inductive steps are now proved by mapping *ok_vc_tc_rule* over *ok_vc_tc_conjs*. The results are then conjoined to give a single theorem (using *list_∧_intro*) for later use. The theorem is saved in the theory as *ok_vc_tc_lemmas* and may be seen in the theory listing at the end of this document.

SML

```

val ok_vc_tc_lemmas : THM = save_thm("ok_vc_tc_lemmas",
  list_∧_intro(map ok_vc_tc_rule ok_vc_tc_conjs));

```

Now we can carry out the inductive proof of *TableComputationsSecure*.

SML

```

set_goal([],  $\ulcorner \forall cc \bullet$ 
    
$$\begin{aligned} & \text{TableComputations } cc \subseteq \text{OK\_TC}_d \text{ } cc \cap \text{OK\_TC}_c \text{ } cc \\ & \wedge \quad \text{ValueComputations } cc \subseteq \text{OK\_VC}_d \text{ } cc \cap \text{OK\_VC}_c \text{ } cc \end{aligned}$$

 $\urcorner$ );
a  $\forall$ -tac;
a(ante_tac(list_ $\forall$ -elim[
     $\ulcorner cc:Class \urcorner$ ,
     $\ulcorner \text{OK\_TC}_d \text{ } cc \cap \text{OK\_TC}_c \text{ } cc \urcorner$ ,
     $\ulcorner \text{OK\_VC}_d \text{ } cc \cap \text{OK\_VC}_c \text{ } cc \urcorner$ ]
    table_computation_induction_thm)
    THEN asm_rewrite_tac [ok_vc_tc_lemmas]);
val fef036_main_lemma = save_pop_thm "fef036_main_lemma";

```

The proof of *TableComputationsSecure* is now easily completed:

SML

```

set_goal([],  $\ulcorner \text{TableComputationsSecure} \urcorner$ );
a(rewrite_tac[get_spec $\ulcorner \text{TableComputationsSecure} \urcorner$ ]);
a(strip_asm_tac OK_TC_d_lemma);
a(strip_asm_tac fef036_main_lemma);
a(PC_T1 "sets_ext1" asm_prove_tac[]);
val TableComputationsSecure_thm = save_pop_thm "TableComputationsSecure_thm";

```

4 PHASE II PROOF SUMMARY

In the proof strategy, [6], three main areas were identified as amenable to formal reasoning :

1. Architectural Model
2. *TSQL* ExecutionModel
3. Table Computations

Most of the proof effort has been concerned with area (3). The conjecture *TableComputationsSecure* has been proved. In addition work in area (2) has resulted in a partial proof of the security of the *TSQL* Execution Model. The result of this is the replacement of the conjecture *EM_SecureE*, defined in [6], by two more detailed assumptions, *View_t_secureE* and *outputFilter_secureE*. The first of these is an information flow property stating that if two *SSQL* states are the same when viewed at a particular classification then their representations as *TSQL* states when viewed as lists of derived tables are also the same at that classification. The second is an information flow property on the output filter.

4.1 Problems Discovered During Proof Work

One of the most important outcomes of the formal proof work carried out in phase II was the discovery of problems in the specifications of [4] which model the query transformations of [8].

Initially, we identified the requirement of the critical property OK_TC_d on table computations and the associated OK_VC_d on value computations. Essentially OK_TC_d and OK_VC_d capture the required information flow properties. However, we discovered during proof work that the classification label which is output by the system could itself be a covert channel. It was necessary to strengthen the requirements on table and value computations; OK_TC_c and OK_VC_c were introduced for this purpose. Further problems emerged as a result of strengthening the requirements on table and value computations. In particular, the specifications of *Group* and *AllTuples* were changed to ensure that output clearances were not a source of insecurity.

In addition, an error in the specification of *Where* of [4] was discovered during proof work. It was necessary to change the specification so that the value computation only used rows about whose existence the client is cleared to know. This ensures, for example, that aggregate functions such as *COUNT(*)* inside the *WHERE* clause itself are not a source of covert channels.

A fuller account of problems encountered during proof work may be found in [1].

4.2 Phase II Partial Proof

We now use the proof of *TableComputationsSecure* together with the partial proof from [3] of *EM_SecureE* to update *Theorem4* of [6] to give the best partial proof of the overall system security.

SML

```
set_goal(
  [Architecture_Secure,
   Subsys_SecureA,
   Subsys_SecureB,
   Subsys_SecureC,
   Subsys_SecureD,
   View_t_secureE,
   outputFilter_secureE,
   Correct_Compile_OkSTP],
  FE_SWORD_SYSTEM_secure);
```

SML

```
a(strip_asm_tac EM_SecureE_thm THEN
   asm_tac TableComputationsSecure_thm THEN
   strip_asm_tac Theorem4);
val Theorem5 = save_pop_thm "Theorem5";
```

ProofPower output

*Theorem5 =**Architecture_Secure,**Subsys_SecureA,**Subsys_SecureB,**Subsys_SecureC,**Subsys_SecureD,**View_t_secureE,**outputFilter_secureE,**Correct_Compile_OkSTP*⊢ *FE_SWORDE_SYSTEM_secure*

5 THE THEORY fef036

5.1 Parents

fef035

5.2 Children

fef042

5.3 Theorems

table_computation_induction_thm

$\vdash \forall cc\ tcs\ vcs$

- $(\forall ci \bullet \text{DenoteConstant } ci \in vcs)$
- $\wedge (\forall i \bullet \text{Contents } i \in vcs)$
- $\wedge (\forall i \bullet \text{Classification } i \in vcs)$
- $\wedge \text{CountAll} \in vcs$
- $\wedge (\forall f\ e \bullet e \in vcs \Rightarrow \text{MonOp } f\ e \in vcs)$
- $\wedge (\forall f\ e1\ e2$
- $e1 \in vcs \wedge e2 \in vcs \Rightarrow \text{BinOp } f\ e1\ e2 \in vcs)$
- $\wedge (\forall f\ e1\ e2\ e3$
- $e1 \in vcs \wedge e2 \in vcs \wedge e3 \in vcs$
- $\Rightarrow \text{TriOp } f\ e1\ e2\ e3 \in vcs)$
- $\wedge (\forall el \bullet \text{Ellems } el \subseteq vcs \Rightarrow \text{BinOpAnd } cc\ el \in vcs)$
- $\wedge (\forall el \bullet \text{Ellems } el \subseteq vcs \Rightarrow \text{BinOpOr } cc\ el \in vcs)$
- $\wedge (\forall te\ cel\ ee$
- $te \in vcs$
- $\wedge \text{Ellems } (\text{Map } Fst\ cel) \subseteq vcs$
- $\wedge \text{Ellems } (\text{Map } Snd\ cel) \subseteq vcs$
- $\wedge ee \in vcs$
- $\Rightarrow \text{CaseVal } cc\ te\ cel\ ee \in vcs)$
- $\wedge (\forall cel\ ee$
- $\text{Ellems } (\text{Map } Fst\ cel) \subseteq vcs$
- $\wedge \text{Ellems } (\text{Map } Snd\ cel) \subseteq vcs$
- $\wedge ee \in vcs$
- $\Rightarrow \text{Case } cc\ cel\ ee \in vcs)$
- $\wedge (\forall e \bullet e \in vcs \Rightarrow \text{SetFuncAllAnd } cc\ e \in vcs)$
- $\wedge (\forall e \bullet e \in vcs \Rightarrow \text{SetFuncAllOr } cc\ e \in vcs)$
- $\wedge (\forall e \bullet e \in vcs \Rightarrow \text{CountNonNull } e \in vcs)$
- $\wedge (\forall e \bullet e \in vcs \Rightarrow \text{CountDistinct } e \in vcs)$
- $\wedge (\forall e \bullet e \in vcs \Rightarrow \text{CommonValue } e \in vcs)$
- $\wedge (\forall f\ e \bullet e \in vcs \Rightarrow \text{SetFuncAll } f\ e \in vcs)$
- $\wedge (\forall f\ e \bullet e \in vcs \Rightarrow \text{SetFuncDistinct } f\ e \in vcs)$
- $\wedge (\forall te \bullet te \in tcs \Rightarrow \text{ExistsTuples } cc\ te \in vcs)$
- $\wedge (\forall te \bullet te \in tcs \Rightarrow \text{SingleValue } cc\ te \in vcs)$
- $\wedge \text{JoinedRowExistence } cc \in vcs$

$$\begin{aligned}
& \wedge (\forall i \bullet \text{TableContents } i \in \text{tcs}) \\
& \wedge (\forall \text{esl tel } e1 \text{ ml nl } e2 \\
& \bullet \text{Elems } (\text{Map Fst esl}) \subseteq \text{vcs} \\
& \quad \wedge \text{Elems tel} \subseteq \text{tcs} \\
& \quad \wedge e1 \in \text{vcs} \\
& \quad \wedge e2 \in \text{vcs} \\
& \quad \Rightarrow \text{AllTuples cc esl tel } e1 \text{ ml nl } e2 \in \text{tcs}) \\
\Rightarrow \text{TableComputations cc} \subseteq \text{tcs} \\
& \wedge \text{ValueComputations cc} \subseteq \text{vcs}
\end{aligned}$$
ok_vc_tc_lemmas

$$\begin{aligned}
\vdash & (\forall c \text{ ci} \bullet \text{DenoteConstant } ci \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}) \\
& \wedge (\forall c \text{ i} \bullet \text{Contents } i \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}) \\
& \wedge (\forall c \text{ i} \bullet \text{Classification } i \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}) \\
& \wedge (\forall c \bullet \text{CountAll} \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}) \\
& \wedge (\forall c \text{ f } e \\
& \bullet e \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \Rightarrow \text{MonOp f } e \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}) \\
& \wedge (\forall c \text{ f } e1 \text{ e2} \\
& \bullet e1 \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \wedge e2 \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \Rightarrow \text{BinOp f } e1 \text{ e2} \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}) \\
& \wedge (\forall c \text{ f } e1 \text{ e2 } e3 \\
& \bullet e1 \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \wedge e2 \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \wedge e3 \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \Rightarrow \text{TriOp f } e1 \text{ e2 } e3 \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}) \\
& \wedge (\forall c \text{ el} \\
& \bullet \text{Elems } el \subseteq \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \Rightarrow \text{BinOpAnd } c \text{ el} \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}) \\
& \wedge (\forall c \text{ el} \\
& \bullet \text{Elems } el \subseteq \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \Rightarrow \text{BinOpOr } c \text{ el} \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}) \\
& \wedge (\forall c \text{ te } cel \text{ ee} \\
& \bullet te \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \wedge \text{Elems } (\text{Map Fst cel}) \subseteq \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \wedge \text{Elems } (\text{Map Snd cel}) \subseteq \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \wedge ee \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \Rightarrow \text{CaseVal } c \text{ te } cel \text{ ee} \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}) \\
& \wedge (\forall c \text{ cel } ee \\
& \bullet \text{Elems } (\text{Map Fst cel}) \subseteq \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \wedge \text{Elems } (\text{Map Snd cel}) \subseteq \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \wedge ee \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \Rightarrow \text{Case } c \text{ cel } ee \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}) \\
& \wedge (\forall c \text{ e} \\
& \bullet e \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c} \\
& \quad \Rightarrow \text{SetFuncAllAnd } c \text{ e} \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}) \\
& \wedge (\forall c \text{ e} \\
& \bullet e \in \text{OK_VC}_d \text{ c} \cap \text{OK_VC}_c \text{ c}
\end{aligned}$$

$$\begin{aligned}
&\Rightarrow \text{SetFuncAllOr } c \ e \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c) \\
&\wedge (\forall \ c \ e \\
&\bullet \ e \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c \\
&\quad \Rightarrow \text{CountNonNull } e \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c) \\
&\wedge (\forall \ c \ e \\
&\bullet \ e \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c \\
&\quad \Rightarrow \text{CountDistinct } e \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c) \\
&\wedge (\forall \ c \ e \\
&\bullet \ e \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c \\
&\quad \Rightarrow \text{CommonValue } e \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c) \\
&\wedge (\forall \ c \ f \ e \\
&\bullet \ e \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c \\
&\quad \Rightarrow \text{SetFuncAll } f \ e \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c) \\
&\wedge (\forall \ c \ f \ e \\
&\bullet \ e \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c \\
&\quad \Rightarrow \text{SetFuncDistinct } f \ e \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c) \\
&\wedge (\forall \ c \ te \\
&\bullet \ te \in \text{OK_TC}_d \ c \cap \text{OK_TC}_c \ c \\
&\quad \Rightarrow \text{ExistsTuples } c \ te \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c) \\
&\wedge (\forall \ c \ te \\
&\bullet \ te \in \text{OK_TC}_d \ c \cap \text{OK_TC}_c \ c \\
&\quad \Rightarrow \text{SingleValue } c \ te \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c) \\
&\wedge (\forall \ c \\
&\bullet \ \text{JoinedRowExistence } c \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c) \\
&\wedge (\forall \ c \ i \bullet \ \text{TableContents } i \in \text{OK_TC}_d \ c \cap \text{OK_TC}_c \ c) \\
&\wedge (\forall \ c \ esl \ tel \ e1 \ ml \ nl \ e2 \\
&\bullet \ \text{Elems } (\text{Map } \text{Fst } \text{esl}) \subseteq \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c \\
&\quad \wedge \text{Elems } \text{tel} \subseteq \text{OK_TC}_d \ c \cap \text{OK_TC}_c \ c \\
&\quad \wedge e1 \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c \\
&\quad \wedge e2 \in \text{OK_VC}_d \ c \cap \text{OK_VC}_c \ c \\
&\quad \Rightarrow \text{AllTuples } c \ esl \ tel \ e1 \ ml \ nl \ e2 \\
&\quad \in \text{OK_TC}_d \ c \cap \text{OK_TC}_c \ c)
\end{aligned}$$
fef036_main_lemma

$$\begin{aligned}
&\vdash \forall \ cc \\
&\bullet \ \text{TableComputations } cc \subseteq \text{OK_TC}_d \ cc \cap \text{OK_TC}_c \ cc \\
&\quad \wedge \text{ValueComputations } cc \subseteq \text{OK_VC}_d \ cc \cap \text{OK_VC}_c \ cc
\end{aligned}$$
TableComputationsSecure_thm

$$\vdash \text{TableComputationsSecure}$$
Theorem5

$$\begin{aligned}
&\text{Architecture_Secure,} \\
&\text{Subsys_SecureA,} \\
&\text{Subsys_SecureB,} \\
&\text{Subsys_SecureC,} \\
&\text{Subsys_SecureD,} \\
&\text{View}_t\text{-secureE,} \\
&\text{outputFilter_secureE,} \\
&\text{Correct_Compile_OkSTP} \\
&\vdash \text{FE_SWORD_SYSTEM_secure}
\end{aligned}$$

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