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Abstract: Formal proofs establishing various results about the multi-level policy and the labelling property for SWORD (for DRA Front End Filter project RSRE 1C/6130).

Distribution: HAT FEF File
Simon Wiseman

0 DOCUMENT CONTROL

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0.2 Document Cross References

- [1] DS/FMU/FEF/003. *Formal Security Policy*. G.M. Prout, ICL Secure Systems, WIN01.
- [2] DS/FMU/FEF/040. *Multi-level Formal Security Policy*. R.D. Arthan, ICL Secure Systems, WIN01.
- [3] DS/FMU/FEF/042. *Multi-level Architectural Model*. R.D. Arthan, ICL Secure Systems, WIN01.
- [4] DS/FMU/FEF/044. *Proofs About Labelling*. R.B. Jones, ICL Secure Systems, WIN01.

0.3 Changes History

Issue Revision : 1.5 (5 June 2016) First draft.

Issue 1.6 Removed dependency on ICL logo font

0.4 Changes Forecast

None.

1 GENERAL

1.1 Scope

This document contains proofs relating to the specifications in documnets [2, 3, 4].

1.2 Introduction

The proofs are presented in sections which correspond to the earliest theory in which they can be conducted, which is the theory in which the proven theorems are stored, with one subsection for each result proven.

2 PROOFS FOR FEF040

The following ProofPower instructions open the theory *fef040*.

SML

```
|open_theory "fef040";
|map delete_thm (map (hd o fst) (get_thms "fef040"));
|push_pc "hol";
```

2.1 Proof of thm_040_1

First a general result about filtering:

SML

```
|set_pc "hol";
|set_goal([],  $\lceil \forall l a b \bullet (l \uparrow a) \uparrow b = l \uparrow (a \cap b) \rceil$ );
|a(REPEAT strip_tac);
|a(list_induction_tac  $\lceil l \rceil$  THEN asm_rewrite_tac[get_spec $\lceil \$ \uparrow \rceil$ ]);
|a(REPEAT strip_tac);
|a(cases_tac $\lceil x \in a \rceil$  THEN asm_rewrite_tac[get_spec $\lceil \$ \uparrow \rceil$ ]);
|(* *** Goal "1" *** *)
|a(cases_tac $\lceil x \in b \rceil$  THEN asm_rewrite_tac[get_spec $\lceil \$ \uparrow \rceil$ ]);
|(* *** Goal "1.1" *** *)
|a(LEMMA_T  $\lceil x \in a \cap b \rceil$  rewrite_thm_tac THEN REPEAT strip_tac);
|(* *** Goal "1.2" *** *)
|a(LEMMA_T  $\lceil \neg x \in a \cap b \rceil$  rewrite_thm_tac THEN REPEAT strip_tac);
|(* *** Goal "2" *** *)
|a(LEMMA_T  $\lceil \neg x \in a \cap b \rceil$  rewrite_thm_tac THEN REPEAT strip_tac);
|val  $\lceil \_ \rceil$ -thm = save_pop_thm  $\lceil \_ \rceil$ -thm;
```

If a lattice has more than one element then *lattice_top* differs from *lattice_bottom*:

SML

```

set_goal([],  $\lceil \forall c \bullet \neg c = \text{lattice\_top} \Rightarrow \neg \text{lattice\_top} = \text{lattice\_bottom} \rceil$ );
a(contr_tac);
a(lemma_tac $\lceil \text{lattice\_top} \text{ dominates } c \rceil$ 
  THEN1 rewrite_tac[get_spec $\lceil \text{lattice\_top} \rceil$ ]);
a(lemma_tac $\lceil c \text{ dominates } \text{lattice\_top} \rceil$ 
  THEN1 asm_rewrite_tac[get_spec $\lceil \text{lattice\_top} \rceil$ ]);
a(all_fc_tac[get_spec $\lceil \text{lattice\_top} \rceil$ ]);
val not_lattice_top_thm = save_pop_thm"not_lattice_top_thm";

```

The down-set from the top and the up-set from the bottom both include all elements of the type:

SML

```

set_goal([],  $\lceil$ 
  lattice_bottom  $\uparrow$  = Universe
 $\wedge$  lattice_top  $\downarrow$  = Universe
 $\rceil$ );
a(PC_T1 "sets_ext" prove_tac(map get_spec
  [ $\lceil \text{\$}\uparrow \rceil$ ,  $\lceil \text{\$}\downarrow \rceil$ ,  $\lceil \text{lattice\_bottom} \rceil$ ]));
val up_down_thm1 = save_pop_thm"up_down_thm1";

```

Any class is a member of its own up-set and down-set. *lattice_bottom* is a member of every down-set and *lattice_top* is a member of every up-set.

SML

```

set_goal([],  $\lceil$ 
 $\forall c \bullet c \in c \downarrow$ 
 $\wedge c \in c \uparrow$ 
 $\wedge \text{lattice\_bottom} \in c \downarrow$ 
 $\wedge \text{lattice\_top} \in c \uparrow$ 
 $\rceil$ );
a(PC_T1 "sets_ext" prove_tac(map get_spec
  [ $\lceil \text{\$}\uparrow \rceil$ ,  $\lceil \text{\$}\downarrow \rceil$ ,  $\lceil \text{lattice\_bottom} \rceil$ ]));
val up_down_thm2 = save_pop_thm"up_down_thm2";

```

These are combined together to form a useful package of results:

SML

```

val up_down_clauses = save_thm("up_down_clauses",
  (all_ $\forall$ _intro o list_ $\wedge$ _intro o map all_ $\forall$ _elim)[up_down_thm1, up_down_thm2]);

```

If class *d* does not dominate class *c* then the down-set from *d* is contained in the complement of the up-set from *c*.

```
SML
| set_goal([],  $\lceil$ 
|  $\forall c d \bullet \neg d \text{ dominates } c \Rightarrow d \downarrow \subseteq \sim(c \uparrow)$ 
|  $\lceil$ );
| a(PC_T1 "sets_ext" prove_tac(map get_spec
| [ $\lceil \sim \lceil$ ,  $\lceil \$ \uparrow \lceil$ ,  $\lceil \$ \downarrow \lceil$ ,  $\lceil \text{lattice\_bottom} \lceil$ )));
| a(contr_tac THEN all_fc_tac[get_spec $\lceil \text{lattice\_bottom} \lceil$ ]);
| val up_down_thm3 = save_pop_thm"up_down_thm3";
```

If R is a family of equivalence relations indexed by classes then $LiftRel R$ is an *IndexedEquiv*.

```
SML
| set_merge_pcs["'bin_rel", "hol2"];
| set_goal([],  $\lceil$ 
|  $\forall R \bullet (\forall c \bullet R c \in \text{Equivalence}) \Rightarrow$ 
|  $(LiftRel R) \in \text{IndexedEquiv}$ 
|  $\lceil$ );
| a(rewrite_tac(map get_spec[ $\lceil LiftRel \lceil$ ,  $\lceil IndexedEquiv \lceil$ ,  $\lceil Equivalence \lceil$ ]) THEN REPEAT strip_tac);
| (* *** Goal "1" *** *)
| a(asm_rewrite_tac[]);
| (* *** Goal "2" *** *)
| a(asm_rewrite_tac[]);
| a(spec_nth_asm_tac 3  $\lceil s \lceil$  THEN all_asm_fc_tac[] THEN all_asm_fc_tac[]);
| (* *** Goal "3" *** *)
| a(asm_rewrite_tac[]);
| a(spec_nth_asm_tac 4  $\lceil s \lceil$  THEN1 all_asm_fc_tac[]);
| a(spec_nth_asm_tac 4  $\lceil s \lceil$  THEN all_asm_fc_tac[] THEN all_asm_fc_tac[]);
| (* *** Goal "4" *** *)
| a(spec_nth_asm_tac 3  $\lceil s \lceil$  THEN1 all_asm_fc_tac[]);
| val lift_rel_indexed_equiv_thm = save_pop_thm"lift_rel_indexed_equiv_thm";
```

$same_ins$ is an indexed family of equivalence relations.

```
SML
| set_goal([],  $\lceil$ 
|  $\forall c \bullet same\_ins c \in \text{Equivalence}$ 
|  $\lceil$ );
| a(rewrite_tac(map get_spec[ $\lceil same\_ins \lceil$ ,  $\lceil Equivalence \lceil$ ,  $\lceil Let \lceil$ ]) THEN
| REPEAT strip_tac THEN asm_rewrite_tac[]);
| val same_ins_equiv_thm = save_pop_thm"same_ins_equiv_thm";
```

$same_outs$ is an indexed family of equivalence relations.

SML

```

| set_goal([],  $\Uparrow$ 
|    $\forall c \bullet \text{same\_outs } c \in \text{Equivalence}$ 
|  $\Uparrow$ );
| a(rewrite_tac(map get_spec [ $\Uparrow \text{same\_outs} \Uparrow$ ,  $\Uparrow \text{Equivalence} \Uparrow$ ,  $\Uparrow \text{Let} \Uparrow$ ]) THEN
|   REPEAT strip_tac THEN asm_rewrite_tac []);
| val same_outs_equiv_thm = save_pop_thm "same_outs_equiv_thm";

```

When lifted they are therefore both *IndexedEquivs*.

SML

```

| set_goal([],  $\Uparrow$ 
|   LiftRel same_ins  $\in$  IndexedEquiv
|  $\wedge$  LiftRel same_outs  $\in$  IndexedEquiv
|  $\Uparrow$ );
| a(REPEAT strip_tac THEN
|   bc_tac[lift_rel_indexed_equiv_thm] THEN
|   rewrite_tac[same_ins_equiv_thm, same_outs_equiv_thm]);
| val same_ins_same_outs_indexed_equiv_thm =
|   save_pop_thm "same_ins_same_outs_indexed_equiv_thm";

```

In uses of the following result R will be something like *same_ins* or *same_outs* from fe003 i.e. a family of equivalence relations indexed by individual classes where $R\ c$ denotes the relation “same at or below c ”. The result says that, under a certain anti-monotonicity condition, the family R embeds in *LiftRel* R as the subfamily indexed by the set of all down-sets.

SML

```

| set_pc "hol";
| set_goal([],  $\Uparrow$ 
|  $\forall R \bullet (\forall c \bullet R\ c \in \text{Equivalence}) \wedge$ 
|    $(\forall c\ d \bullet c \text{ dominates } d \Rightarrow R\ c \subseteq R\ d) \Rightarrow$ 
|    $\forall c \bullet (\text{LiftRel } R)(c \downarrow) = R\ c$ 
|  $\Uparrow$ );
| a(rewrite_tac[get_spec  $\Uparrow \text{LiftRel} \Uparrow$ ] THEN REPEAT strip_tac);
| a(PC_T1 "sets_ext" REPEAT strip_tac);
| (* *** Goal "1" *** *)
| a(spec_nth_asm_tac 1  $\Uparrow R\ c \Uparrow$ );
| a(spec_nth_asm_tac 1  $\Uparrow c \Uparrow$ );
| a(all_fc_tac[up_down_clauses]);
| (* *** Goal "2" *** *)
| a(asm_ante_tac  $\Uparrow c' \in c \downarrow \Uparrow$  THEN asm_rewrite_tac[get_spec  $\Uparrow \$ \downarrow \Uparrow$ ]);
| a(strip_tac THEN all_asm_fc_tac [] THEN PC_T1 "sets_ext" all_asm_fc_tac []);
| val equiv_anti_mono_lift_rel_thm = save_pop_thm "equiv_anti_mono_lift_rel_thm";

```

same_ins is anti-monotonic in an appropriate sense.

SML

```

|set_pc"hol2";
|set_goal([], ⌈
|    ∀c d • c dominates d ⇒ same_ins c ⊆ same_ins d
|⌋);
|a(rewrite_tac(map get_spec[⌈ same_ins ⌋, ⌈ Let ⌋] THEN
|    REPEAT strip_tac THEN rename_tac[]);
|a(LEMMA_T ⌈∀x •
|    x ⊢ {(q, c')|d dominates c'} =
|    (x ⊢ {(q, c')|c dominates c'}) ⊢ {(q, c')|d dominates c'}⌋
|    (fn th => once_rewrite_tac [th] THEN asm_rewrite_tac[]));
|a(rewrite_tac[⌋_⌋_thm]);
|a(LEMMA_T ⌈{(q, c')|c dominates c'} ∩ {(q, c')|d dominates c'} =
|    {(q, c')|d dominates c'}⌋ rewrite_thm_tac);
|(* *** Goal "1" *** *)
|a(REPEAT strip_tac);
|a(all_fc_tac[get_spec ⌈$dominates ⌋]);
|val same_ins_anti_mono_thm = save_pop_thm"same_ins_anti_mono_thm";

```

same_outs is anti-monotonic in an appropriate sense.

SML

```

|set_pc"hol2";
|set_goal([], ⌈
|    ∀c d • c dominates d ⇒ same_outs c ⊆ same_outs d
|⌋);
|a(rewrite_tac(map get_spec[⌈ same_outs ⌋, ⌈ Let ⌋] THEN
|    REPEAT strip_tac THEN rename_tac[]);
|a(LEMMA_T ⌈∀x •
|    x ⊢ {(c', d')|d dominates c'} =
|    (x ⊢ {(c', d')|c dominates c'}) ⊢ {(c', d')|d dominates c'}⌋
|    (fn th => once_rewrite_tac [th] THEN asm_rewrite_tac[]));
|a(rewrite_tac[⌋_⌋_thm]);
|a(LEMMA_T ⌈{(c', d')|c dominates c'} ∩ {(c', d')|d dominates c'} =
|    {(c', d')|d dominates c'}⌋ rewrite_thm_tac);
|(* *** Goal "1" *** *)
|a(REPEAT strip_tac);
|a(all_fc_tac[get_spec ⌈$dominates ⌋]);
|val same_outs_anti_mono_thm = save_pop_thm"same_outs_anti_mono_thm";

```

The result says that the family *same_ins* embeds in *LiftRel same_ins* as the subfamily indexed by the set of all down-sets and similarly for *same_outs*

```

SML
|set_pc"hol";
|set_goal([],  $\ulcorner$ 
| $\forall c \bullet$  (LiftRel same_ins)(c  $\downarrow$ ) = same_ins c
| $\wedge$  (LiftRel same_outs)(c  $\downarrow$ ) = same_outs c
| $\urcorner$ );
|a(REPEAT strip_tac THEN intro_ $\forall$ _tac( $\ulcorner$  c:Class $\urcorner$ ,  $\ulcorner$  c:Class $\urcorner$ ) THEN
|bc_tac[equiv_anti_mono_lift_rel_thm] THEN
|rewrite_tac
|[same_ins_equiv_thm, same_outs_equiv_thm,
|same_ins_anti_mono_thm, same_outs_anti_mono_thm]);
|val lift_rel_same_ins_same_outs_down_set_thm =
|save_pop_thm"lift_rel_same_ins_same_outs_down_set_thm";

```

We are now in a position to prove *conj_040_1* which shows that the original security policy in [1] is a special case of the more generic flow policy given in [2].

```

SML
|set_pc"hol";
|set_goal([], conj_040_1);
|a(rewrite_tac(map get_spec[ $\ulcorner$  secure $\urcorner$ ,  $\ulcorner$  ml_secure $\urcorner$ ,  $\ulcorner$  x_ml_secure $\urcorner$ ]) THEN
|REPEAT strip_tac);
|(* *** Goal "1" *** *)
|a(rewrite_tac[same_ins_same_outs_indexed_equiv_thm]);
|(* *** Goal "2" *** *)
|a(rewrite_tac[same_ins_same_outs_indexed_equiv_thm]);
|(* *** Goal "3" *** *)
|a(POP_ASM_T ante_tac THEN
|asm_rewrite_tac[lift_rel_same_ins_same_outs_down_set_thm]);
|(* *** Goal "4" *** *)
|a(swap_nth_asm_concl_tac 2 THEN
|asm_rewrite_tac[lift_rel_same_ins_same_outs_down_set_thm]);
|a(contr_tac THEN all_asm_fc_tac[]);
|val thm_040_1 = save_pop_thm"thm_040_1";

```

2.2 Proof of thm_040_2

First an elementary result about disrtibuted union.

```

SML
|val  $\bigcup \subseteq$  -thm = pc_rule1 "sets_ext" prove_rule[ $\ulcorner \forall a b \bullet a \subseteq b \Rightarrow \bigcup a \subseteq \bigcup b \urcorner$ ];

```


SML

```

|set_merge_pcs["'bin_rel", "hol2"];
|set_goal([],  $\lceil \forall c \bullet \text{SameLabVal } c \in \text{Equivalence} \rceil$ );
|a(rewrite_tac(map get_spec [ $\lceil \text{SameLabVal} \rceil$ ,  $\lceil \text{Equivalence} \rceil$ ])
  THEN REPEAT strip_tac THEN PC_T1 "prop_eq" asm_prove_tac[]);
|val same_lab_val_equiv_thm = save_pop_thm"same_lab_val_equiv_thm";

```

SML

```

|set_pc"hol";
|set_goal([],  $\lceil \text{LiftRel SameLabVal} \in \text{IndexedEquiv} \rceil$ );
|a(bc_tac[lift_rel_indexed_equiv_thm] THEN rewrite_tac[same_lab_val_equiv_thm]);
|val lift_rel_same_lab_val_equiv_thm =
  save_pop_thm"lift_rel_same_lab_val_equiv_thm";

```

SML

```

|set_pc"hol2";
|set_goal([],  $\lceil \forall c \bullet c \text{ dominates } d \Rightarrow \text{SameLabVal } c \subseteq \text{SameLabVal } d \rceil$ );
|a(rewrite_tac(map get_spec [ $\lceil \text{SameLabVal} \rceil$ ]) THEN REPEAT strip_tac);
|a(all_fc_tac[get_spec  $\lceil \$ \text{dominates} \rceil$ ]);
|val same_lab_val_anti_mono_thm = save_pop_thm"same_lab_val_anti_mono_thm";

```

SML

```

|set_pc"hol";
|set_goal([],  $\lceil \forall c \bullet (\text{LiftRel SameLabVal})(c \downarrow) = \text{SameLabVal } c \rceil$ );
|a(bc_tac[equiv_anti_mono_lift_rel_thm] THEN
  rewrite_tac[same_lab_val_equiv_thm, same_lab_val_anti_mono_thm]);
|val lift_rel_same_lab_val_down_set_thm =
  save_pop_thm"lift_rel_same_lab_val_down_set_thm";

```

SML

```

set_pc"hol";
set_goal([], conj_040_2);
a(rewrite_tac(lift_rel_same_lab_val_down_set_thm:: map get_spec
  [⌈BoundedObs⌋, ⌈ObservedValue⌋, ⌈SameLabVal⌋,
   ⌈x_ml_secure⌋, ⌈Influenced⌋]) THEN
  PC_T1 "hol2" REPEAT strip_tac);
(* *** Goal "1" *** *)
a(rename_tac[(⌈x'⌋, "d")] THEN rewrite_tac[get_spec⌈$↓⌋]);
a(cases_tac⌈∀e•e dominates d⌋ THEN1 asm_rewrite_tac[]);
a(list_spec_nth_asm_tac 4 [⌈e⌋, ⌈x⌋, ⌈y⌋]);
a(all_fc_tac[up_down_thm3]);
a(LIST_GET_NTH_ASM_T [8]
  (ALL_FC_T (PC_T1 "sets_ext" all_fc_tac) o
    map (rewrite_rule[get_spec⌈IndexedEquiv⌋])));
(* *** Goal "2" *** *)
a(rename_tac[(⌈x'⌋, "d")] THEN rewrite_tac[get_spec⌈$↓⌋]);
a(contr_tac);
a(all_fc_tac[up_down_thm3]);
a(LIST_GET_NTH_ASM_T [7]
  (ALL_FC_T (PC_T1 "sets_ext" all_fc_tac) o
    map (rewrite_rule[get_spec⌈IndexedEquiv⌋])));
a(list_spec_nth_asm_tac 6 [⌈C x⌋, ⌈x⌋, ⌈y⌋]);
a(swap_nth_asm_concl_tac 1 THEN rewrite_tac[get_spec⌈lattice_bottom⌋]);
val thm_040_2 = save_pop_thm"thm_040_2";

```

2.3 Proof of Theorem thm_040_3

SML

```

| set_pc "hol";
| set_goal([],  $\ulcorner \forall c \bullet c \downarrow = \bigcap \{A \mid \exists d \bullet \neg c \text{ dominates } d \wedge A = \sim(d \uparrow)\} \urcorner$ );
| a(rewrite_tac(map get_spec [ $\ulcorner \$\downarrow \urcorner$ ,  $\ulcorner \$\uparrow \urcorner$ ]) THEN
|   PC_T1 "sets_ext" REPEAT strip_tac);
| (* *** Goal "1" *** *)
| a(asm_rewrite_tac[get_spec  $\ulcorner \sim \urcorner$ ]);
| a(contr_tac THEN all_fc_tac[get_spec  $\ulcorner \$ \text{ dominates } \urcorner$ ]);
| (* *** Goal "2" *** *)
| a(cases_tac  $\ulcorner c \text{ dominates } x \urcorner$ );
| a(spec_nth_asm_tac 2  $\ulcorner \sim \{y \mid y \text{ dominates } x\} \urcorner$ );
| (* *** Goal "2.1" *** *)
| a(spec_nth_asm_tac 1  $\ulcorner x \urcorner$ );
| (* *** Goal "2.2" *** *)
| a(POP_ASM_T ante_tac THEN rewrite_tac(map get_spec [ $\ulcorner \sim \urcorner$ ,  $\ulcorner \$ \text{ dominates } \urcorner$ ]));
| val down_∩_comp_up_thm = save_pop_thm "down_∩_comp_up_thm";

```

3 PROOFS FOR FEF042

The following ProofPower instructions open the theory *fef042*.

SML

```

| open_theory "fef042";
| map delete_thm ((flat o (map fst) o get_thms) "fef042");
| set_pc "hol";

```

SML

```

| (delete_pc "'tree" handle Fail _ => ());
| new_pc "'tree";
| set_∃_cd_thms[tree_prim_rec_thm] "'tree";
| set_merge_pcs ["'tree", "hol"];

```

SML

```

| push_goal([],  $\ulcorner \forall x y \bullet \text{ MkTree } x = \text{ MkTree } y \Rightarrow x = y \urcorner$ );
| a(REPEAT strip_tac);
| a(lemma_tac  $\ulcorner \exists f \bullet \forall z \bullet f(\text{MkTree } z) = z \urcorner$  THEN1 prove_∃_tac);
| a(lemma_tac  $\ulcorner y = f(\text{MkTree } x) \urcorner$  THEN1
|   (GET_NTH_ASM_T 2 rewrite_thm_tac THEN POP_ASM_T rewrite_thm_tac));
| a(POP_ASM_T rewrite_thm_tac THEN POP_ASM_T rewrite_thm_tac);
| val mk_tree_one_one_thm = save_pop_thm "mk_tree_one_one_thm";

```

SML

```

|push_goal([],  $\lceil \forall t \bullet \exists x \bullet t = MkTree\ x \rceil$ );
|a(REPEAT strip_tac);
|a(gen_induction_tac tree_induction_thm  $\lceil t \rceil$ );
|a( $\exists$ _tac  $\lceil x \rceil$  THEN REPEAT strip_tac);
|val mk_tree_onto_thm = save_pop_thm "mk_tree_onto_thm";

```

SML

```

|push_consistency_goal  $\lceil MkObj \rceil$ ;
|a(lemma_tac  $\lceil \exists mk\_obj \bullet \forall c: Class; t: Text; os: Obj\ LIST \bullet$ 
|    $mk\_obj\ (c, t, os) = MkTree\ ((c, t), os) \rceil$ 
|   THEN1 prove_ $\exists$ _tac);
|a(lemma_tac  $\lceil \exists object\_class\ object\_text\ object\_refers \bullet$ 
|    $\forall ctos: (Class \times Text) \times Obj\ LIST \bullet$ 
|    $object\_class\ (MkTree\ (ctos)) = Fst\ (Fst\ ctos)$ 
|    $\wedge\ object\_text\ (MkTree\ (ctos)) = Snd\ (Fst\ ctos)$ 
|    $\wedge\ object\_refers\ (MkTree\ (ctos)) = Snd\ ctos \rceil$ 
|   THEN1 prove_ $\exists$ _tac);
|a( $\exists$ _tac  $\lceil (mk\_obj, object\_class, object\_text, object\_refers) \rceil$ );
|a(asm_rewrite_tac []);
|save_consistency_thm  $\lceil MkObj \rceil$  (pop_thm());

```

SML

```

push_goal([],  $\ulcorner \forall d \bullet \exists_1 h \bullet \forall c t os \bullet$ 
     $h (MkObj (c, t, os)) = d c t (Map h os) \urcorner$ );
a(REPEAT strip_tac);
a(strip_asm_tac ( $\forall\_elim \ulcorner (\lambda x:(Class \times Text) \times Obj LIST; y \bullet$ 
     $d (Fst(Fst x)) (Snd(Fst x)) y) \urcorner tree\_prim\_rec\_thm)$ );
a( $\exists_1\_tac \ulcorner h \urcorner THEN asm\_rewrite\_tac[get\_spec \ulcorner MkObj \urcorner]$ );
a(REPEAT strip_tac);
a(lemma_tac  $\ulcorner \forall ctos \bullet h' (MkTree ctos) =$ 
     $d (Fst (Fst ctos)) (Snd (Fst ctos)) (Map h' (Snd ctos)) \urcorner$ 
    THEN REPEAT strip_tac);
(* *** Goal "1" *** *)
a(LEMMA_T  $\ulcorner ctos = ((Fst (Fst ctos), Snd (Fst ctos)), Snd ctos) \urcorner$ 
    once_rewrite_thm_tac THEN1 rewrite_tac[]);
a(TOP_ASM_T pure_rewrite_thm_tac);
a(rewrite_tac[]);
(* *** Goal "2" *** *)
a(lemma_tac  $\ulcorner \forall x$ 
     $\bullet h' (MkTree x)$ 
     $= (\lambda x y \bullet d (Fst (Fst x)) (Snd (Fst x)) y) x (Map h' (Snd x)) \urcorner$ 
    THEN1 asm_rewrite_tac[]);
a(all_asm_fc_tac[]);
val obj_prim_rec_thm = save_pop_thm "obj_prim_rec_thm";

```

SML

```

| push_goal([],  $\Gamma$ 
| ( $\forall x \bullet \text{MkObj } x = \text{MkTree } ((\text{Fst } x, \text{Fst}(\text{Snd } x)), \text{Snd}(\text{Snd } x))$ )  $\wedge$ 
|  $\forall \text{ctos} \bullet \text{objectClass}(\text{MkTree } \text{ctos}) = \text{Fst } (\text{Fst } \text{ctos})$ 
|  $\wedge$   $\text{objectContains}(\text{MkTree } \text{ctos}) = \text{Snd } (\text{Fst } \text{ctos})$ 
|  $\wedge$   $\text{objectRefers}(\text{MkTree } \text{ctos}) = \text{Snd } \text{ctos}$ 
|  $\neg$ );
| a(lemma_tac  $\neg \forall x \bullet \text{MkObj } x = \text{MkTree } ((\text{Fst } x, \text{Fst}(\text{Snd } x)), \text{Snd}(\text{Snd } x))$ );
| (* *** Goal "1" *** *)
| a(strip_tac);
| a(LEMMA_T  $\Gamma x = (\text{Fst } x, (\text{Fst}(\text{Snd } x)), \text{Snd}(\text{Snd } x))$  once_rewrite_thm_tac
| THEN1 rewrite_tac[]);
| a(pure_rewrite_tac[get_spec $\Gamma \text{MkObj}$ ]);
| a(rewrite_tac[]);
| (* *** Goal "2" *** *)
| a(ante_tac (get_spec $\Gamma \text{MkObj}$ ) THEN asm_rewrite_tac[]);
| a(strip_tac THEN strip_tac);
| a(LEMMA_T  $\Gamma \text{ctos} = ((\text{Fst } (\text{Fst } \text{ctos}), \text{Snd } (\text{Fst } \text{ctos})), \text{Snd } \text{ctos})$  once_rewrite_thm_tac
| THEN1 rewrite_tac[]);
| a(pure_asm_rewrite_tac[]);
| a(rewrite_tac[]);
| val object_clauses = save_pop_thm"object_clauses";

```

SML

3.1 Consistency of *list_machine*

SML

```

| push_consistency_goal $\Gamma \text{lift\_machine}$ ;
| a (prove_ $\exists$ _tac THEN strip_tac);
| a (lemma_tac  $\Gamma \exists \text{lm} \bullet$ 
|  $(\text{lm } [] = \lambda s \bullet ([], s))$ 
|  $\wedge (\forall r \text{rl} \bullet$ 
|  $\text{lm } (\text{Cons } r \text{rl}) =$ 
|  $\lambda s \bullet (\text{let } \text{out} = \text{Output } \text{mch}'(s, r) \text{ and } s' = \text{Next } \text{mch}'(s, r)$ 
|  $\text{in } \text{let } (\text{outl}, \text{final\_state}) = \text{lm } \text{rl } s'$ 
|  $\text{in } (\text{Cons } \text{out } \text{outl}, \text{final\_state}))$ ) $\neg$ 
| THEN1 prove_ $\exists$ _tac);
| a ( $\exists$ _tac  $\Gamma \lambda s \text{rl} \bullet \text{lm } \text{rl } s$ );
| a (asm_rewrite_tac[]);
| save_consistency_thm $\Gamma \text{lift\_machine}$ (pop_thm());

```

Following is lengthy but not really difficult. The basic idea is to define a propositional function by primitive recursion over trees which represents the set function required for the witness. The proof is then just a check that the formula defining the propositional function is essentially the same as the one the witness is required to satisfy. This involves (inter alia) two inductive proofs of two little lemmas about the formulae which are used to “combine the recursive applications”.

SML

```

push_consistency_goal $\ulcorner$ identicalObj $\urcorner$ ;
a(lemma_tac $\ulcorner$  $\exists io : Obj \rightarrow Class \rightarrow Obj \rightarrow BOOL \bullet \forall ctos\ c\ o_2 \bullet$ 
  io (MkTree ctos) c o2  $\Leftrightarrow$ 
 $\exists c_1\ t_1\ os_1\ c_2\ t_2\ os_2 \bullet$ 
  (MkTree ctos) = MkObj (c1, t1, os1)
 $\wedge$  o2 = MkObj (c2, t2, os2)
 $\wedge$  c1 = c2
 $\wedge$  ( c dominates c1
 $\Rightarrow$  t1 = t2
 $\wedge$  Length os1 = Length os2
 $\wedge$   $\forall p\ o_2 \bullet (p, o_2) \in$ 
  Elems (Combine (Map io (Snd ctos)) os2)
 $\Rightarrow p\ c\ o_2$ ) $\urcorner$ 
  THEN1 prove_ $\exists$ _tac);
a( $\exists$ _tac $\ulcorner$  $\lambda c \bullet \{(o_1, o_2) \mid io\ o_1\ c\ o_2\}$  $\urcorner$  THEN
  PC_T1 "sets_ext1" asm_rewrite_tac[object_clauses]);
a(PC_T1 "sets_ext1" REPEAT strip_tac);
(* *** Goal "1" *** *)
a(strip_asm_tac( $\forall$ _elim $\ulcorner$ x1 $\urcorner$  mk_tree_onto_thm) THEN var_elim_nth_asm_tac 1);
a(strip_asm_tac( $\forall$ _elim $\ulcorner$ x2 $\urcorner$  mk_tree_onto_thm) THEN var_elim_nth_asm_tac 1);
a(MAP_EVERY  $\exists$ _tac[
   $\ulcorner$ Fst(Fst x) $\urcorner$ ,  $\ulcorner$ Snd(Fst x) $\urcorner$ ,  $\ulcorner$ Snd x $\urcorner$ ,
   $\ulcorner$ Fst(Fst x') $\urcorner$ ,  $\ulcorner$ Snd(Fst x') $\urcorner$ ,  $\ulcorner$ Snd x' $\urcorner$ ]
  THEN rewrite_tac[]);
a(POP_ASM_T ante_tac THEN asm_rewrite_tac[object_clauses]);
a(strip_tac);
(* *** Goal "1.1" *** *)
a(all_fc_tac[mk_tree_one_one_thm]);
a(MAP_EVERY var_elim_nth_asm_tac [1,1,2]);
a(asm_rewrite_tac[]);
(* *** Goal "1.2" *** *)
a(all_fc_tac[mk_tree_one_one_thm]);
a(MAP_EVERY var_elim_nth_asm_tac [1,1,3,3]);
a(POP_ASM_T ante_tac THEN asm_rewrite_tac[]);
a(POP_ASM_T ante_tac);
a(intro_ $\forall$ _tac( $\ulcorner$ os1 $\urcorner$ ,  $\ulcorner$ os1 $\urcorner$ ));
a(list_induction_tac $\ulcorner$ os2 $\urcorner$ );
(* *** Goal "1.2.1" *** *)
a(rewrite_tac[get_spec $\ulcorner$ Length $\urcorner$ , length_0_thm]);
a(REPEAT_N 2 strip_tac THEN asm_rewrite_tac(map get_spec
  [ $\ulcorner$ Combine $\urcorner$ ,  $\ulcorner$ Map $\urcorner$ ,  $\ulcorner$ Elems $\urcorner$ ]));
(* *** Goal "1.2.2" *** *)
a(rewrite_tac[get_spec $\ulcorner$ Length $\urcorner$ , length_0_thm]);
a(REPEAT  $\forall$ _tac);
a(strip_asm_tac( $\forall$ _elim $\ulcorner$ os1 $\urcorner$  list_cases_thm) THEN var_elim_nth_asm_tac 1
  THEN rewrite_tac[get_spec $\ulcorner$ Length $\urcorner$ ]);
a(PC_T1 "sets_ext1" rewrite_tac(map get_spec [ $\ulcorner$ Combine $\urcorner$ ,  $\ulcorner$ Map $\urcorner$ ,  $\ulcorner$ Elems $\urcorner$ ]));

```


SML

```

| push_consistency_goal⊢ FilterObj⊢;
| a(rewrite_tac[object_clauses]);
| a(lemma_tac⊢ ⊢ f : Class → Obj → Obj • ∀ c dtos •
|   f c (MkTree dtos) =
|   let (d, t) = Fst dtos
|   in   if c dominates d
|         then MkTree ((d, t), Map (f c) (Snd dtos))
|         else MkTree ((d, Arbitrary), Arbitrary)⊢
|   THEN1 prove_∃_tac);
| a(∃_tac⊢ f⊢ THEN asm_rewrite_tac[let_def]);
| save_consistency_thm⊢ FilterObj⊢(pop_thm());

```

SML

```

| set_merge_pcs["'bin_rel", "hol2"];

```

SML

```

| val conj_042_1_1 = ⊢
| ∀c ob1 ob2 •
|   (ob1, ob2) ∈ identicalObj c
| ⇔   FilterObj c ob1 = FilterObj c ob2⊢;

```

SML

```

| push_goal([], ⊢ ∀l x y • (x, y) ∈ Elems(Combine l l) ⇔ y ∈ Elems l ∧ x = y⊢);
| a(strip_tac);
| a(list_induction_tac⊢ l⊢ THEN asm_rewrite_tac(map get_spec[⊢ Elems⊢, ⊢ Combine⊢]));
| a(REPEAT strip_tac THEN TRY all_var_elim_asm_tac1 THEN REPEAT strip_tac);
| val elems_combine_thm = save_pop_thm"elems_combine_thm";

```

SML

```

push_goal([],  $\lceil \forall l1\ l2\ x\ y \bullet$ 
  Length l1 = Length l2  $\wedge$  (x, y)  $\in$  Elems(Combine l1 l2)
   $\Rightarrow$  x  $\in$  Elems l1  $\rceil$ );
a(strip_tac);
a(list_induction_tac $\lceil$ l1 $\rceil$ );
(* *** Goal "1" *** *)
a(REPEAT strip_tac);
a(all_asm_ante_tac THEN
  strip_asm_tac( $\forall$ _elim $\lceil$ l2 $\rceil$  list_cases_thm) THEN var_elim_nth_asm_tac 1
  THEN rewrite_tac(map get_spec $\lceil$ Elems $\rceil$ ,  $\lceil$ Combine $\rceil$ ,  $\lceil$ Length $\rceil$ ));
(* *** Goal "2" *** *)
a(REPEAT strip_tac);
a(all_asm_ante_tac THEN
  strip_asm_tac( $\forall$ _elim $\lceil$ l2 $\rceil$  list_cases_thm) THEN var_elim_nth_asm_tac 1
  THEN rewrite_tac(map get_spec $\lceil$ Elems $\rceil$ ,  $\lceil$ Combine $\rceil$ ,  $\lceil$ Length $\rceil$ ));
a(REPEAT strip_tac THEN all_asm_fc_tac[]);
val elems_combine_elems_thm = save_pop_thm"elems_combine_elems_thm";

```

SML

```

push_goal([],  $\lceil \forall l1\ l2\ x1\ x2 \bullet$ 
  Length l1 = Length l2  $\wedge$ 
  (x1, x2)  $\in$  Elems(Combine l1 l2)  $\Rightarrow$  (x2, x1)  $\in$  Elems(Combine l2 l1)  $\rceil$ );
a(strip_tac);
a(list_induction_tac $\lceil$ l1 $\rceil$ );
(* *** Goal "1" *** *)
a(REPEAT strip_tac);
a(all_asm_ante_tac THEN
  strip_asm_tac( $\forall$ _elim $\lceil$ l2 $\rceil$  list_cases_thm) THEN var_elim_nth_asm_tac 1
  THEN rewrite_tac(map get_spec $\lceil$ Elems $\rceil$ ,  $\lceil$ Combine $\rceil$ ,  $\lceil$ Length $\rceil$ ));
(* *** Goal "2" *** *)
a(REPEAT strip_tac);
a(all_asm_ante_tac THEN
  strip_asm_tac( $\forall$ _elim $\lceil$ l2 $\rceil$  list_cases_thm) THEN var_elim_nth_asm_tac 1
  THEN rewrite_tac(map get_spec $\lceil$ Elems $\rceil$ ,  $\lceil$ Combine $\rceil$ ,  $\lceil$ Length $\rceil$ ));
a(REPEAT strip_tac THEN all_asm_fc_tac[]);
val elems_combine_swap_thm = save_pop_thm"elems_combine_swap_thm";

```

SML

```

push_goal([],  $\ulcorner \forall f\ l1\ l2\ x1\ x2 \bullet$ 
  Length l1 = Length l2  $\wedge$ 
  (x1, x2)  $\in$  Elems(Combine l1 l2)  $\wedge$ 
  Map f l1 = Map f l2  $\Rightarrow$ 
  f x1 = f x2 $\urcorner$ );
a(REPEAT_N 2 strip_tac);
a(list_induction_tac $\ulcorner$ l1 $\urcorner$ );
(* *** Goal "1" *** *)
a(REPEAT strip_tac);
a(all_asm_ante_tac THEN
  strip_asm_tac( $\forall$ _elim $\ulcorner$ l2 $\urcorner$  list_cases_thm) THEN var_elim_nth_asm_tac 1
  THEN rewrite_tac(map get_spec[ $\ulcorner$ Elems $\urcorner$ ,  $\ulcorner$ Combine $\urcorner$ ,  $\ulcorner$ Length $\urcorner$ ]));
(* *** Goal "2" *** *)
a(REPEAT strip_tac);
a(all_asm_ante_tac THEN
  strip_asm_tac( $\forall$ _elim $\ulcorner$ l2 $\urcorner$  list_cases_thm) THEN var_elim_nth_asm_tac 1
  THEN rewrite_tac(map get_spec[ $\ulcorner$ Map $\urcorner$ ,  $\ulcorner$ Elems $\urcorner$ ,  $\ulcorner$ Combine $\urcorner$ ,  $\ulcorner$ Length $\urcorner$ ]));
a(REPEAT strip_tac THEN all_asm_fc_tac[]);
a(all_var_elim_asm_tac1);
val elems_combine_map_thm = save_pop_thm"elems_combine_map_thm";

```

SML

```

push_goal([],  $\lceil \forall f \ l1 \ l2 \bullet$ 
  Length l1 = Length l2  $\wedge$ 
   $(\forall y1 \ y2 \bullet (y1, y2) \in Elems(Combine \ l1 \ l2) \Rightarrow f \ y1 = f \ y2) \Rightarrow$ 
  Map f l1 = Map f l2 $\rceil$ );
a(REPEAT_N 2 strip_tac);
a(list_induction_tac $\lceil l1 \rceil$ );
(* *** Goal "1" *** *)
a(REPEAT strip_tac);
a(all_asm_ante_tac THEN
  strip_asm_tac( $\forall\_elim \lceil l2 \rceil \ list\_cases\_thm$ ) THEN var_elim_nth_asm_tac 1
  THEN rewrite_tac(map get_spec $\lceil Elems \rceil, \lceil Combine \rceil, \lceil Length \rceil$ ));
(* *** Goal "2" *** *)
a(REPEAT strip_tac);
a(all_asm_ante_tac THEN
  strip_asm_tac( $\forall\_elim \lceil l2 \rceil \ list\_cases\_thm$ ) THEN var_elim_nth_asm_tac 1
  THEN rewrite_tac(map get_spec $\lceil Map \rceil, \lceil Elems \rceil, \lceil Combine \rceil, \lceil Length \rceil$ ));
a(REPEAT strip_tac THEN all_asm_fc_tac[]);
(* *** Goal "2.1" *** *)
a(list_spec_nth_asm_tac 1  $\lceil x \rceil, \lceil x' \rceil$ );
(* *** Goal "2.2" *** *)
a(list_spec_nth_asm_tac 3  $\lceil list2 \rceil$ );
a(all_asm_fc_tac[]);
val elems_combine_map_thm1 = save_pop_thm"elems_combine_map_thm1";

```

SML

```

push_goal([],  $\lceil \forall P$ 
   $\bullet (\forall c \ t \ ts \bullet (\forall t \bullet t \in Elems \ ts \Rightarrow P \ t) \Rightarrow P \ (MkObj(c, t, ts))) \Rightarrow (\forall t \bullet P \ t) \rceil$ );
a(rewrite_tac[object_clauses] THEN REPEAT strip_tac);
a(gen_induction_tac tree_induction_thm  $\lceil t \rceil$ );
a(POP_ASM_T ante_tac);
a(PC_T1 "predicates" lemma_tac $\lceil \exists c \ t \ ts \bullet x = ((c, t), ts) \rceil$ 
  THEN1 (MAP_EVERY  $\exists\_tac \lceil Fst \ (Fst \ x) \rceil, \lceil Snd \ (Fst \ x) \rceil, \lceil Snd \ x \rceil$ 
    THEN REPEAT strip_tac));
a(asm_rewrite_tac[]);
val obj_induction_thm = save_pop_thm"obj_induction_thm";

```

SML

```

set_goal([],  $\ulcorner \forall c\ ob1\ ob2 \bullet$ 
    ( $ob1, ob2 \in identicalObj\ c \Rightarrow FilterObj\ c\ ob1 = FilterObj\ c\ ob2 \urcorner$ );
a(REPEAT_N 2 strip_tac);
a(gen_induction_tac obj_induction_thm  $\ulcorner ob1 \urcorner$ );
a(rewrite_tac(map_get_spec $\ulcorner FilterObj \urcorner$ ));
a(once_rewrite_tac(map_get_spec $\ulcorner identicalObj \urcorner$ ));
a(REPEAT strip_tac);
(* *** Goal "1" *** *)
a(all_var_elim_asm_tac1);
a(rewrite_tac(map_get_spec $\ulcorner FilterObj \urcorner$ ));
a(DROP_NTH_ASM_T 2 ante_tac
    THEN rewrite_tac[object_clauses]);
a(REPEAT strip_tac THEN all_fc_tac[mk_tree_one_one_thm]);
a(all_var_elim_asm_tac1);
a(asm_rewrite_tac[]);
(* *** Goal "2" *** *)
a(all_var_elim_asm_tac1);
a(rewrite_tac(map_get_spec $\ulcorner FilterObj \urcorner$ ));
a(DROP_NTH_ASM_T 3 ante_tac
    THEN rewrite_tac[object_clauses]);
a(REPEAT strip_tac THEN all_fc_tac[mk_tree_one_one_thm]);
a(all_var_elim_asm_tac1);
a(cases_tac  $\ulcorner c\ dominates\ c_2 \urcorner$  THEN asm_rewrite_tac[]);
a(LEMMA_T  $\ulcorner Map\ (FilterObj\ c)\ ob1s = Map\ (FilterObj\ c)\ os_2 \urcorner$  rewrite_thm_tac);
a(POP_ASM_T discard_tac THEN all_asm_ante_tac THEN
    intro_ $\forall$ _tac( $\ulcorner os_2 \urcorner, \ulcorner os_2 \urcorner$ )
    THEN list_induction_tac  $\ulcorner ob1s \urcorner$ );
(* *** Goal "2.1" *** *)
a(strip_tac);
a(strip_asm_tac( $\forall$ _elim $\ulcorner os_2 \urcorner$  list_cases_thm) THEN var_elim_nth_asm_tac 1
    THEN rewrite_tac(map_get_spec $\ulcorner Elems \urcorner, \ulcorner Combine \urcorner, \ulcorner Length \urcorner$ ));
(* *** Goal "2.2" *** *)
a(REPEAT_N 2 strip_tac);
a(strip_asm_tac( $\forall$ _elim $\ulcorner os_2 \urcorner$  list_cases_thm) THEN var_elim_nth_asm_tac 1
    THEN rewrite_tac(map_get_spec $\ulcorner Elems \urcorner, \ulcorner Combine \urcorner, \ulcorner Length \urcorner$ ));
a(REPEAT strip_tac);
a(rewrite_tac[get_spec $\ulcorner Map \urcorner$ ]);
a(list_spec_nth_asm_tac 1 [ $\ulcorner x \urcorner, \ulcorner x' \urcorner$ ]);
a(list_spec_nth_asm_tac 4 [ $\ulcorner x \urcorner$ ]);
a(list_spec_nth_asm_tac 1 [ $\ulcorner x' \urcorner$ ]);
a(POP_ASM_T rewrite_thm_tac);
a(list_spec_nth_asm_tac 6 [ $\ulcorner list2 \urcorner$ ] THEN all_asm_fc_tac[]);

```

```

val identical_obj_filter_obj_thm1 = save_pop_thm"identical_obj_filter_obj_thm1"

```

SML

```

set_goal([],  $\ulcorner \forall c \text{ ob1 ob2} \bullet$ 
   $\text{FilterObj } c \text{ ob1} = \text{FilterObj } c \text{ ob2} \Rightarrow (\text{ob1}, \text{ob2}) \in \text{identicalObj } c \urcorner$ );
a(REPEAT_N 2 strip_tac);
a(gen_induction_tac obj_induction_thm  $\ulcorner \text{ob1} \urcorner$ );
a(rewrite_tac(map get_spec[ $\ulcorner \text{FilterObj} \urcorner$ ]));
a(once_rewrite_tac(map get_spec[ $\ulcorner \text{identicalObj} \urcorner$ ]));
a(REPEAT strip_tac);
a(rewrite_tac[object_clauses]);
a(strip_asm_tac( $\forall$ _elim $\ulcorner \text{ob2} \urcorner$  mk_tree_onto_thm) THEN var_elim_nth_asm_tac 1);
a(PC_T1 "predicates" lemma_tac $\ulcorner \exists d \text{ us} \bullet x = ((d, u), \text{us}) \urcorner$ 
  THEN1 (MAP_EVERY  $\exists$ _tac[ $\ulcorner \text{Fst } (Fst \ x) \urcorner$ ,  $\ulcorner \text{Snd } (Fst \ x) \urcorner$ ,  $\ulcorner \text{Snd } x \urcorner$ ]
    THEN REPEAT strip_tac));
a(var_elim_nth_asm_tac 1);
a(POP_ASM_T (strip_asm_tac o rewrite_rule[object_clauses,
  rewrite_rule[object_clauses](get_spec $\ulcorner \text{FilterObj} \urcorner$ )]));
a(lemma_tac $\ulcorner c' = d \urcorner$ );
(* *** Goal "1" *** *)
a(POP_ASM_T ante_tac THEN cases_tac  $\ulcorner c \text{ dominates } c' \urcorner$ 
  THEN cases_tac $\ulcorner c \text{ dominates } d \urcorner$  THEN asm_rewrite_tac[]
  THEN REPEAT strip_tac THEN all_fc_tac[mk_tree_one_one_thm]);
(* *** Goal "2" *** *)
a(var_elim_nth_asm_tac 1);
a(MAP_EVERY  $\exists$ _tac[ $\ulcorner d \urcorner$ ,  $\ulcorner \text{ob1} \urcorner$ ,  $\ulcorner \text{ob1s} \urcorner$ ,  $\ulcorner d \urcorner$ ,  $\ulcorner u \urcorner$ ,  $\ulcorner \text{us} \urcorner$ ]);
a(POP_ASM_T ante_tac THEN cases_tac $\ulcorner c \text{ dominates } d \urcorner$  THEN asm_rewrite_tac[]);
a(strip_tac THEN all_fc_tac[mk_tree_one_one_thm]);
a(var_elim_nth_asm_tac 2);
a(LEMMA_T $\ulcorner \text{Length}(\text{Map } (\text{FilterObj } c) \text{ ob1s}) = \text{Length}(\text{Map } (\text{FilterObj } c) \text{ us}) \urcorner$ 
  (strip_asm_tac o rewrite_rule[length_map_thm]) THEN1 asm_rewrite_tac[]);
a(REPEAT strip_tac);
a(all_fc_tac[elems_combine_map_thm, elems_combine_elems_thm]);
a(all_asm_fc_tac[]);
val identical_obj_filter_obj_thm2 = save_pop_thm"identical_obj_filter_obj_thm2";

```

SML

```

set_goal([],  $\ulcorner$ 
   $\forall c \bullet \text{identicalObj } c = \{(\text{ob1}, \text{ob2}) \mid \text{FilterObj } c \text{ ob1} = \text{FilterObj } c \text{ ob2}\} \urcorner$ );
a(REPEAT strip_tac THEN
  all_fc_tac[identical_obj_filter_obj_thm1, identical_obj_filter_obj_thm2]);
val identical_obj_filter_obj_thm = save_pop_thm"identical_obj_filter_obj_thm";

```

SML

```

|push_goal([],  $\lceil \forall c \text{ ob} \bullet (ob, ob) \in \text{identicalObj } c \rceil$ );
|a(rewrite_tac[identical_obj_filter_obj_thm]);
|val identical_obj_refl_thm = save_pop_thm "identical_obj_refl_thm";

```

SML

```

|push_goal([],  $\lceil \forall c \text{ ob1 } \text{ob2} \bullet$ 
|    $(ob1, ob2) \in \text{identicalObj } c \Rightarrow (ob2, ob1) \in \text{identicalObj } c \rceil$ );
|a(rewrite_tac[identical_obj_filter_obj_thm]);
|a(PC_T1 "prop_eq" prove_tac[]);
|val identical_obj_sym_thm = save_pop_thm "identical_obj_sym_thm";

```

SML

```

|push_goal([],  $\lceil \forall c \text{ ob1 } \text{ob2 } \text{ob3} \bullet$ 
|    $(ob1, ob2) \in \text{identicalObj } c \wedge (ob2, ob3) \in \text{identicalObj } c$ 
|    $\Rightarrow (ob1, ob3) \in \text{identicalObj } c \rceil$ );
|a(rewrite_tac[identical_obj_filter_obj_thm]);
|a(PC_T1 "prop_eq" prove_tac[]);
|val identical_obj_trans_thm = save_pop_thm "identical_obj_trans_thm";

```

SML

```

|push_goal([],  $\lceil \forall c$ 
|    $\bullet \text{identicalObj } c \in \text{Reflexive}$ 
|    $\wedge \text{identicalObj } c \in \text{Symmetric}$ 
|    $\wedge \text{identicalObj } c \in \text{Transitive} \rceil$ );
|a (rewrite_tac ([identical_obj_refl_thm, identical_obj_sym_thm,
|   identical_obj_trans_thm] @ (map get_spec
|   [ $\lceil \text{Reflexive} \rceil$ ,  $\lceil \text{Symmetric} \rceil$ ,  $\lceil \text{Transitive} \rceil$ ]))));
|val identical_obj_rft_thm = save_pop_thm "identical_obj_rft_thm";

```

SML

```

|push_goal([],  $\lceil \forall c \bullet \text{identicalObj } c \in \text{Equivalence} \rceil$ );
|a(PC_T1 "hol2" rewrite_tac[get_spec  $\lceil \text{Equivalence} \rceil$ , identical_obj_rft_thm]);
|val identical_obj_equiv_thm = save_pop_thm "identical_obj_equiv_thm";

```

SML

```

set_goal([],  $\ulcorner \forall c \bullet$ 
  sameRequests c =
  {
    (rs1, rs2)
  |
    Map (FilterObj c o reqSsql) (rs1  $\upharpoonright$  VisibleReq c)
  =
    Map (FilterObj c o reqSsql) (rs2  $\upharpoonright$  VisibleReq c)}
 $\urcorner$ );
a(rewrite_tac(identical_obj_filter_obj_thm::
  map get_spec[ $\ulcorner$  sameRequests $\urcorner$ ,  $\ulcorner$  sameRequest $\urcorner$ ,  $\ulcorner$  Let $\urcorner$ ]));
a(REPEAT strip_tac);
a(lemma_tac  $\ulcorner \forall x1' x2'$ 
  • (x1', x2')  $\in$  Elems (Combine (x1  $\upharpoonright$  VisibleReq c) (x2  $\upharpoonright$  VisibleReq c))
   $\Rightarrow$  (FilterObj c o reqSsql) x1' = (FilterObj c o reqSsql) x2' $\urcorner$ );
(* *** Goal "1.1" *** *)
a(rewrite_tac[] THEN REPEAT strip_tac THEN all_asm_fc_tac[]);
(* *** Goal "1.2" *** *)
a(all_fc_tac[elems_combine_map_thm1]);
(* *** Goal "2" *** *)
a(LEMMA_T $\ulcorner$  Length(Map (FilterObj c o reqSsql) (x1  $\upharpoonright$  VisibleReq c)) =
  Length(Map (FilterObj c o reqSsql) (x2  $\upharpoonright$  VisibleReq c)) $\urcorner$ 
  (strip_asm_tac o rewrite_rule[length_map_thm]) THEN1 asm_rewrite_tac[]);
(* *** Goal "3" *** *)
a(LEMMA_T $\ulcorner$  (FilterObj c o reqSsql) x1' = (FilterObj c o reqSsql) x2' $\urcorner$ 
  (rewrite_thm_tac o rewrite_rule[]));
a(LEMMA_T $\ulcorner$  Length(Map (FilterObj c o reqSsql) (x1  $\upharpoonright$  VisibleReq c)) =
  Length(Map (FilterObj c o reqSsql) (x2  $\upharpoonright$  VisibleReq c)) $\urcorner$ 
  (strip_asm_tac o rewrite_rule[length_map_thm]) THEN1 asm_rewrite_tac[]);
a(all_fc_tac[elems_combine_map_thm]);
val same_requests_filter_obj_thm = save_pop_thm "same_requests_filter_obj_thm";

```

SML

```

set_goal([],  $\ulcorner \forall c \bullet$  sameRequests c  $\in$  Equivalence $\urcorner$ );
a(rewrite_tac(same_requests_filter_obj_thm::map get_spec[ $\ulcorner$  Equivalence $\urcorner$ ])
  THEN REPEAT strip_tac
  THEN asm_rewrite_tac[]);
val same_requests_equiv_thm = save_pop_thm "same_requests_equiv_thm";

```

SML

```

set_goal([],  $\ulcorner \forall c \bullet$  sameOutputs c  $\in$  Equivalence $\urcorner$ );
a(rewrite_tac(map get_spec[ $\ulcorner$  sameOutputs $\urcorner$ ,  $\ulcorner$  Equivalence $\urcorner$ ])
  THEN REPEAT strip_tac
  THEN asm_rewrite_tac[]);
val same_outputs_equiv_thm = save_pop_thm "same_outputs_equiv_thm";

```


SML

```
| set_goal([],  $\lceil$  LiftRel sameRequests  $\in$  IndexedEquiv  $\lceil$ );
| a(bc_tac[lift_rel_indexed_equiv_thm] THEN rewrite_tac[same_requests_equiv_thm]);
| val same_requests_indexed_equiv_thm = save_pop_thm "same_requests_indexed_equiv_thm";
```

SML

```
| set_goal([],  $\lceil$  LiftRel sameOutputs  $\in$  IndexedEquiv  $\lceil$ );
| a(bc_tac[lift_rel_indexed_equiv_thm] THEN rewrite_tac[same_outputs_equiv_thm]);
| val same_outputs_indexed_equiv_thm = save_pop_thm "same_outputs_indexed_equiv_thm";
```

4 PROOFS ABOUT FEF043

The following ProofPower instructions open the theory *fef043*.

SML

```
| open_theory "fef043";
| map delete_thm (map (hd o fst) (get_thms "fef043"));
| set_pc "hol2";
```

4.1 Consistency Proof

The simplest consistency proof is obtained by using a constant function as follows.

HOL Constant

```
simplest_witness : 'State FactoredMachine
```

```
simplest_witness =
  let next =  $\lambda$  sr • Arbitrary
  and output =  $\lambda$  sr • Arbitrary
  and init = Arbitrary
  and f0 =  $\lambda$  c ob s • Arbitrary
  in let (f1,f2,f3) = (f0,f0,f0)
  in let mach = MkMachine next output init
  and facs = MkFactorisation f0 f1 f2 f3
  in MkFactoredMachine mach facs
```

A less trivial witness is given by the following factored machine, which copies its input to its output:

HOL Constant

identity_witness : 'State FactoredMachine

```

identity_witness =
  let next = Fst
    and output = reqSsql o Snd
    and init = Arbitrary
    and f0 = λ c ob s • ob
  in   let (f1,f2,f3) = (f0,f0,f0)
    in   let mach = MkMachine next output init
        and facs = MkFactorisation f0 f1 f2 f3
        in   MkFactoredMachine mach facs

```

The following function is used in the proofs that the above two candidates are *label_secure*.

HOL Constant

purge_above_level : $\mathbb{N} \rightarrow Class \rightarrow Obj \rightarrow Obj$

```

(∀c ob•   purge_above_level 0 c ob = (FilterObj c ob)) ∧
(∀n c d t os•
  purge_above_level (n + 1) c (MkObj(d, t, os)) =
  MkObj(Arbitrary, Arbitrary, Map (purge_above_level n c) os))

```

SML

```

push_consistency_goalΓ purge_above_level∇;
a(lemma_tacΓ
  ∃p:ℕ → Class → Obj → Obj•
  (∀ob c•   p 0 c ob = FilterObj c ob) ∧
  (∀n c tr•
    p (n + 1) c (MkTree tr) =
    MkTree((Arbitrary, Arbitrary), Map (p n c) (Snd tr))∇
    THEN1 MERGE_PCS_T ["tree", "hol2"] prove_∃_tac);
a(∃_tacΓ p∇ THEN asm_rewrite_tac[get_specΓ MkObj∇]);
save_consistency_thmΓ purge_above_level∇ (pop_thm());

```

SML

```

set_goal([],  $\ulcorner \forall n c ob \bullet$ 
    purge_above_level (n + 1) c ob =
    MkTree((Arbitrary, Arbitrary), Map (purge_above_level n c) (objectRefers ob)) $\urcorner$ );
a(REPEAT strip_tac);
a(strip_asm_tac( $\forall$ _elim $\ulcorner ob \urcorner$  mk_tree_onto_thm) THEN var_elim_nth_asm_tac 1);
a(LEMMA_T $\ulcorner$  MkTree x = MkObj(Fst (Fst x), Snd (Fst x), Snd x) $\urcorner$  rewrite_thm_tac
    THEN1 rewrite_tac[get_spec $\ulcorner$  MkObj $\urcorner$ ]);
a(rewrite_tac[get_spec $\ulcorner$  purge_above_level $\urcorner$ ]);
a(rewrite_tac[get_spec $\ulcorner$  MkObj $\urcorner$ , mk_tree_one_one_thm]);
val purge_above_level_thm = save_pop_thm"purge_above_level_thm";

```

SML

```

set_goal([],  $\ulcorner \forall n c ob1 ob2 \bullet$ 
    (ob1, ob2)  $\in$  same_at_c_below_level n c  $\Rightarrow$ 
    purge_above_level n c ob1 = purge_above_level n c ob2 $\urcorner$ );
a(strip_tac);
a(induction_tac $\ulcorner n \urcorner$ );
(* *** Goal "1" *** *)
a(rewrite_tac(map get_spec[ $\ulcorner$  same_at_c_below_level $\urcorner$ ,  $\ulcorner$  purge_above_level $\urcorner$ ]));
a(rewrite_tac[identical_obj_filter_obj_thm]);
(* *** Goal "2" *** *)
a(rewrite_tac[ get_spec $\ulcorner$  same_at_c_below_level $\urcorner$ , purge_above_level_thm ]);
a(REPEAT strip_tac);
a(LEMMA_T  $\ulcorner$  Map (purge_above_level n c) (objectRefers ob1) =
    Map (purge_above_level n c) (objectRefers ob2) $\urcorner$  rewrite_thm_tac);
a(all_asm_fc_tac[]);
a(lemma_tac $\ulcorner \forall x1 x2 \bullet$ 
    (x1, x2)  $\in$  Elems(Combine(objectRefers ob1) (objectRefers ob2))
     $\Rightarrow$  purge_above_level n c x1 = purge_above_level n c x2 $\urcorner$ );
(* *** Goal "2.1" *** *)
a(REPEAT strip_tac THEN all_asm_fc_tac[] THEN all_asm_fc_tac[]);
(* *** Goal "2.2" *** *)
a(all_fc_tac[elems_combine_map_thm1]);
val same_at_c_below_level_purge_above_level_thm1 =
    save_pop_thm"same_at_c_below_level_purge_above_level_thm1";

```

SML

```

set_goal([],  $\ulcorner \forall n c ob1 ob2 \bullet$ 
  purge_above_level n c ob1 = purge_above_level n c ob2  $\Rightarrow$ 
  (ob1, ob2)  $\in$  same_at_c_below_level n c  $\urcorner$ );
a(strip_tac);
a(induction_tac  $\ulcorner n \urcorner$ );
(* *** Goal "1" *** *)
a(rewrite_tac[map_get_spec[ $\ulcorner$  same_at_c_below_level  $\urcorner$ ,  $\ulcorner$  purge_above_level  $\urcorner$ ]]);
a(rewrite_tac[identical_obj_filter_obj_thm]);
(* *** Goal "2" *** *)
a(rewrite_tac[ get_spec  $\ulcorner$  same_at_c_below_level  $\urcorner$ , purge_above_level_thm ]);
a(REPEAT strip_tac);
(* *** Goal "2.1" *** *)
a(all_fc_tac[mk_tree_one_one_thm]);
a(LEMMA_T  $\ulcorner$  Length(Map(purge_above_level n c) (objectRefers ob1)) =
  Length(Map(purge_above_level n c) (objectRefers ob2))  $\urcorner$  ante_tac
  THEN1 asm_rewrite_tac[]);
a(rewrite_tac[length_map_thm]);
(* *** Goal "2.1" *** *)
a(all_fc_tac[mk_tree_one_one_thm]);
a(LEMMA_T  $\ulcorner$  Length(Map(purge_above_level n c) (objectRefers ob1)) =
  Length(Map(purge_above_level n c) (objectRefers ob2))  $\urcorner$  ante_tac
  THEN1 asm_rewrite_tac[]);
a(rewrite_tac[length_map_thm] THEN strip_tac);
a(all_fc_tac[elems_combine_map_thm]);
a(all_asm_fc_tac[]);
val same_at_c_below_level_purge_above_level_thm2 =
  save_pop_thm "same_at_c_below_level_purge_above_level_thm2";

```

SML

```

set_goal([],  $\ulcorner \forall n c ob1 ob2 \bullet$ 
  (ob1, ob2)  $\in$  same_at_c_below_level n c  $\Leftrightarrow$ 
  purge_above_level n c ob1 = purge_above_level n c ob2  $\urcorner$ );
a(REPEAT strip_tac THEN
  all_fc_tac[same_at_c_below_level_purge_above_level_thm1,
    same_at_c_below_level_purge_above_level_thm2]);
val same_at_c_below_level_purge_above_level_thm =
  save_pop_thm "same_at_c_below_level_purge_above_level_thm";

```

SML

```

set_goal([],⌈
  machine simplest_witness =
    MkMachine (λ sr • Arbitrary) (λ sr • Arbitrary) Arbitrary
  ∧ factors simplest_witness =
    MkFactorisation (λ c ob s • Arbitrary) (λ c ob s • Arbitrary)
    (λ c ob s • Arbitrary) (λ c ob s • Arbitrary)
⌋);
a (rewrite_tac (let_def::(map get_spec [⌈simplest_witness⌋,⌈machine⌋]]));
val simple_witness_thm = save_pop_thm "simple_witness_thm";

```

SML

```

set_goal([],⌈
  machine identity_witness =
    MkMachine Fst (reqSsql o Snd) Arbitrary
  ∧ factors identity_witness =
    MkFactorisation (λ c ob s • ob) (λ c ob s • ob)
    (λ c ob s • ob) (λ c ob s • ob)
⌋);
a (rewrite_tac (let_def::(map get_spec [⌈identity_witness⌋,⌈machine⌋]]));
val identity_witness_thm = save_pop_thm "identity_witness_thm";

```

SML

```

set_goal([],⌈∀n c • (same_at_c_below_level n c) ∈ Equivalence⌋);
a(MERGE_PCS_T1 ["bin_rel", "hol2"] rewrite_tac[get_spec⌈Equivalence⌋,
  same_at_c_below_level_purge_above_level_thm]);
a(PC_T1 "prop_eq" prove_tac[]);
val same_at_c_below_level_equiv_thm = save_pop_thm "same_at_c_below_level_equiv_thm";

```

SML

```

set_goal([],⌈∀n • LiftRel (same_at_c_below_level n) ∈ IndexedEquiv⌋);
a(strip_tac THEN bc_tac[lift_rel_indexed_equiv_thm]);
a(rewrite_tac[same_at_c_below_level_equiv_thm]);
val lift_rel_same_at_c_below_level_indexed_equiv_thm =
  save_pop_thm "lift_rel_same_at_c_below_level_indexed_equiv_thm";
set_goal([],⌈∀n C x • (x, x) ∈ LiftRel (same_at_c_below_level n) C⌋);
a(REPEAT strip_tac);
a(ante_tac(∀_elim⌈n⌋lift_rel_same_at_c_below_level_indexed_equiv_thm));
a(rewrite_tac(map get_spec [⌈IndexedEquiv⌋,⌈Equivalence⌋,⌈Reflexive⌋]]));
a(REPEAT strip_tac THEN asm_rewrite_tac[]);
val lift_rel_same_at_c_below_level_refl_thm =
  save_pop_thm "lift_rel_same_at_c_below_level_refl_thm";

```

SML

```

|set_goal([],⌈simplest_witness ∈ label_secure⌋);
|a (rewrite_tac ((map get_spec [
|    ⌈label_secure⌋ , ⌈label_secure_to⌋, ⌈factor0⌋
|    ])[let_def, simple_witness_thm]));
|a (rewrite_tac ([let_def, same_requests_indexed_equiv_thm]@(map get_spec [
|    ⌈ml_secure⌋,⌈x_ml_secure⌋,⌈special_machine⌋,⌈machine⌋,⌈Next⌋,⌈$%f⌋]]));
|a (rewrite_tac[lift_rel_same_at_c_below_level_indexed_equiv_thm,
|    lift_rel_same_at_c_below_level_refl_thm]);
|val simplest_witness_label_secure_thm = save_pop_thm "simplest_witness_label_secure_thm";

```

SML

```

|set_goal([],⌈identity_witness ∈ label_secure⌋);
|a (rewrite_tac ((map get_spec [
|    ⌈label_secure⌋ , ⌈label_secure_to⌋, ⌈factor0⌋
|    ])[let_def, identity_witness_thm]));
|a (rewrite_tac ([let_def, same_requests_indexed_equiv_thm]@(map get_spec [
|    ⌈ml_secure⌋,⌈x_ml_secure⌋,⌈special_machine⌋,⌈machine⌋,⌈Next⌋,⌈$%f⌋]]));
|a (rewrite_tac[lift_rel_same_at_c_below_level_indexed_equiv_thm,
|    lift_rel_same_at_c_below_level_refl_thm]);
|val identity_witness_label_secure_thm = save_pop_thm "identity_witness_label_secure_thm";

```

5 CLOSING DOWN

The following ProofPower instruction restores the previous proof context.

SML

```

|pop_pc();

```

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